



8.2	<p><b>Capital Fund Program Five-Year Action Plan.</b> As part of the submission of the Annual Plan, PHAs must complete and submit the <i>Capital Fund Program Five-Year Action Plan</i>, form HUD-50075.2, and subsequent annual updates (on a rolling basis, e.g., drop current year, and add latest year for a five year period). Large capital items must be included in the Five-Year Action Plan.</p> <p style="text-align: center;"><b>See Exhibit 8.2 Capital Fund Program Five Year Action Plan</b></p>
8.3	<p><b>Capital Fund Financing Program (CFFP).</b>  <input checked="" type="checkbox"/> Check if the PHA proposes to use any portion of its Capital Fund Program (CFP)/Replacement Housing Factor (RHF) to repay debt incurred to finance capital improvements. <b>Capital Funds approved 2012 in mixed finance agreement. No new monies received.</b></p>
9.0	<p><b>Housing Needs.</b> Based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data, make a reasonable effort to identify the housing needs of the low-income, very low-income, and extremely low-income families who reside in the jurisdiction served by the PHA, including elderly families, families with disabilities, and households of various races and ethnic groups, and other families who are on the public housing and Section 8 tenant-based assistance waiting lists. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location.</p> <p style="text-align: center;"><b>See Exhibit 9.0 Housing Needs</b></p>
9.1	<p><b>Strategy for Addressing Housing Needs.</b> Provide a brief description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. <b>Note: Small, Section 8 only, and High Performing PHAs complete only for Annual Plan submission with the 5-Year Plan.</b></p> <p style="text-align: center;"><b>See Exhibit 9.1 Strategy for Addressing Housing Needs</b></p>
10.0	<p><b>Additional Information.</b> Describe the following, as well as any additional information HUD has requested.</p> <p>(a) Progress in Meeting Mission and Goals. Provide a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year Plan.</p> <p style="text-align: center;"><b>See Exhibit 10.0 (a) Progress in Meeting Mission and Goals</b></p> <p>(b) Significant Amendment and Substantial Deviation/Modification. Provide the PHA’s definition of “significant amendment” and “substantial deviation/modification”</p> <p style="text-align: center;"><b>See Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification</b></p>
11.0	<p><b>Required Submission for HUD Field Office Review.</b> In addition to the PHA Plan template (HUD-50075), PHAs must submit the following documents. Items (a) through (g) may be submitted with signature by mail or electronically with scanned signatures, but electronic submission is encouraged. Items (h) through (i) must be attached electronically with the PHA Plan. <b>Note:</b> Faxed copies of these documents will not be accepted by the Field Office.</p> <p>(a) Form HUD-50077, <i>PHA Certifications of Compliance with the PHA Plans and Related Regulations</i> (which includes all certifications relating to Civil Rights)</p> <p>(b) Form HUD-50070, <i>Certification for a Drug-Free Workplace</i> (PHAs receiving CFP grants only)</p> <p>(c) Form HUD-50071, <i>Certification of Payments to Influence Federal Transactions</i> (PHAs receiving CFP grants only)</p> <p>(d) Form SF-LLL, <i>Disclosure of Lobbying Activities</i> (PHAs receiving CFP grants only)</p> <p>(e) Form SF-LLL-A, <i>Disclosure of Lobbying Activities Continuation Sheet</i> (PHAs receiving CFP grants only)</p> <p>(f) Resident Advisory Board (RAB) comments. Comments received from the RAB must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the recommendations and the decisions made on these recommendations.</p> <p>(g) Challenged Elements</p> <p>(h) Form HUD-50075.1, <i>Capital Fund Program Annual Statement/Performance and Evaluation Report</i> (PHAs receiving CFP grants only)</p> <p>(i) Form HUD-50075.2, <i>Capital Fund Program Five-Year Action Plan</i> (PHAs receiving CFP grants only)</p>

## Exhibit 5.2 Goals and Objectives

In 2013, RHA completed a new strategic plan. The plan was driven and focused by the goals below as well as a deconcentration study and plan RHA initiated in 2012 and completed in 2013. As part of the adoption of the strategic plan, RHA will modify the goals and objectives below in 2014 to reflect the direction of the 2014-2019 strategic plan.

### **1) Maintain and strengthen financial viability.**

Retain positive cash flow within each managed program and asset. This includes weaning the Central Office Cost Center from the use of diminishing Capital Funds to maximize its use for capital improvement to the full benefit of our developments. The Asset Management Group may strategically enter into partnership with private firms to manage current and acquired affordable housing units within the portfolio.

### **2) Develop Non-HUD income streams**

Development of Non-HUD income streams may involve developing partnerships with private entities through the use of tax credits and project based vouchers as well as other innovative financing vehicles.

New revenues may also include fee for service based professional property management services, consulting services, contract management opportunities and re-development/development activity.

### **3) Through demolition, disposition, or a combination of redevelopment and demolition, transition our residents into modern affordable housing family developments.**

Develop a variety of housing options including mixed income housing, scattered site housing, homeownership options, tax credit projects, target population housing, the utilization of project based vouchers, via CFFP leveraging and other yet to be identified innovative approaches which enhance quality of life and promote responsibility and accountability of our residents. These same efforts apply to any recognized instrumentality, subsidiary or partnership with RHA.

### **4) Upgrade the Scattered Site program**

Through rehabilitation, demolition, and/or the sale of properties that are not financially viable to maintain it is RHA's goal to improve its scattered housing stock. RHA intends to utilize proceeds from the sale of obsolete assets to support and develop new rental units and a self-sustaining community enhancing homeownership program. For a listing of scattered site units and actions see **Attachment C**. Those units labeled "Disposition/Rehab" will be appraised and checked against the 2011 physical needs analysis to determine the appropriate action and best use of RHA funds.

### **5) Upgrade and modernize the high rise and low rise buildings**

For developments that will be retained, creatively utilize a variety of grant funding sources to leverage investment capital including; but not limited to: Capital Fund, Energy Performance Contracting and grants, Capital Fund leveraging, tax credits and other HUD approved programs.

### **6) Expand case management capabilities in our Resident Services Departments**

Target and acquire available grants for continued development of innovative approaches for aiding responsible residents to achieve increased self-sufficiency. Heavy focus will be placed on programs that aid in the reduction of poverty, promotion of education, job skills, and others as needed to promote sufficiency, independence and reduction in reliance on subsidy programs. Focus may or may not include direct funding by RHA, a partner, instrumentality, or subsidiary to benefit the residents of RHA or related properties.

### **7) Require greater accountability for participants and landlords of all HCV programs**

Educate and train staff, participating and potential landlords in how existing HCV program regulations support stricter lease enforcement by landlords and lease compliance by participants to ensure that responsible and respectful participants are welcomed in every neighborhood. Expand RHA's family self-sufficiency participation to demonstrate increased responsibility of participants to the community as more become self-supporting and free of all sources of subsidy.

### **8) Achieve greater accountability for public housing residents through strengthened lease enforcement and lease provisions as well as enhanced monitoring and training.**

Educate and train staff on updated ACOP and lease enforcement. Educate and train residents on lease requirements, emphasizing our mission to "Partner with the Community and Responsible and Respectful Families to transform Houses into Homes".

**9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.**

**10) Continue to focus on curb appeal of the exterior and interior of all of our developments.**

Focus staff on the importance of attention to detail in improving resident and public perception as well as our ability to effectively market our buildings. Monitor Dashboard Key Performance Indicators within the Asset Management Group (AMG) and routinely report to the Board of Commissioners.

**11) Provide a safe environment for our residents.**

Utilize lease enforcement, pre-lease screening, as well as private security and public law enforcement efforts to screen out or remove from tenancy those who are irresponsible and / or disrespectful with respect to the lease, vision and mission objectives.

All programmatic efforts for families are to be focused on measures that will aid families to develop abilities necessary to move up and out of public housing.

## Exhibit 6.0 (a) PHA Plan Element Revisions

### 1. Eligibility, Selection and Admissions Policies, including Deconcentration and Wait List Procedures

#### PHA Policies Governing Eligibility, Selection, and Admissions

[24 CFR Part 903.7 9 (c)]

The Rockford Housing Authority Admissions and Occupancy Plan (ACOP) and the Section 8 Administrative Plan are updated periodically as necessary. To obtain a copy of the most recent version of these documents, please visit the Rockford Housing Authority website <http://www.rockfordha.org>.

#### **Termination of Housing Assistance Payment Contract due to insufficient funding**

This is a new policy that provides procedure for when and if the program is faced with the prospect of insufficient funding despite cost-cutting and spending cuts and must terminate HAP contracts to bring program cost/spending level within the PHA's annual budget Authority.

#### **Rent Determinations**

##### RHA Policy

The RHA chooses to use ceiling rents. Rockford Housing Authority ceiling rents are identified in an attachment in the ACOP - and below.

**2012 RHA Flat Rent Table**

	Eff	1-bdrm	2-bdrm	3-bdrm	4-bdrm	5-bdrm	6-bdrm
Blackhawk		400	450	550	650		
Orton Keyes		400	450	550	650	750	850
Fairgrounds			450	550	650	750	850
Scattered Sites-West			500	600	700	800	900
Scattered Sites-East			650	750	850		
North Main Manor		400	450				
Olesen Plaza		400	450				
Park Terrace	300	400	450				
Buckbee		450	500				
Midvale		450	500				
Summit Green		450	500				
Brewington Oaks		400	450	500			

**2012 RHA Ceiling Rent Table**

	Eff	1-bdrm	2-bdrm	3-bdrm	4-bdrm	5-bdrm	6-bdrm
Blackhawk		486	546	662	783		
Orton Keyes		483	547	662	773	884	1003
Fairgrounds			549	658	777	890	1001
Scattered Sites-West			621	734	848	960	1098
22-23				884			
Scattered Sites-East			771	884	998		
North Main Manor		445	502				
Olesen		451	509				
Park Terrace	345	445	502				
Buckbee		551	639				
Midvale		551	639				
Summit Green		551	639				
Brewington Oaks		458	520	580			

## Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

### 2. Financial Resources

<b>Financial Resources: Planned Sources and Uses</b>		
<b>Sources</b>	<b>Planned \$</b>	<b>Planned Uses</b>
<b>1. Federal Grants (FY 2013 grants)</b>		
a) Public Housing Operating Fund	\$6,900,000	
b) Public Housing Capital Fund 112	\$2,535,177	
c) HOPE VI Revitalization	\$ 300,000	
d) HOPE VI Demolition	n/a	
e) Annual Contributions for Section 8 Tenant-Based Assistance	\$7,600,000	
f) Public Housing Drug Elimination Program (including any Technical Assistance funds)	n/a	
g) Resident Opportunity and Self-Sufficiency Grants-Service Coord.	\$ 160,000	PHSS
h) Community Development Block Grant	n/a	
i) HOME	n/a	
Other Federal Grants (list below)		
<b>2. Prior Year Federal Grants (unobligated funds only) (list below)</b>		
CFP- #501-10	\$ 152,391	
CFP- #501-11	\$1,246,744	
CFP- #501-12	\$1,499,030	
<b>3. Public Housing Dwelling Rental Income</b>	\$2,150,000	
<b>4. Other income (list below)</b>		
PH FSS Coordinator	\$ 68,964	PHSS
HCV FSS Coordinator	\$ 193,235	
<b>5. Non-federal sources (list below)</b>		
<b>Total resources</b>	\$22,805,541	

## Exhibit 6.0 (a PHA Plan Element Revisions (continued))

### 3. Rent Determination

RHA has reviewed its “flat rent” and “ceiling rent” and conducted a market survey. To the extent allowable by HUD guidelines 24 CFR 960.253 RHA may adjust these rents in the coming year.

### 4. Operations and Management

**A.** RHA continues its reorganization efforts as a means to streamline operations. Over the coming year, RHA will continue to review options and sources for additional efficiencies and implement accordingly. While several changes have been made, organization policies and procedures remain under review including but not limited to the Admin plan, ACOP, Section 3 Policy, and Procurement Policy. As changes are finalized, the PHA plan will be updated as required.

RHA has been reviewing Energy Performance Contracting (EPC) opportunities and intends to seek HUD approval for an EPC/ESA. All properties are up for consideration at present; subject to change and limitation based on final redevelopment funding strategies (i.e. RAD conversion) that may limit the use of EPC funds.

### B. HUD Programs Under PHA Management

List Federal programs administered by the PHA, number of families served at the beginning of the upcoming fiscal year, and expected turnover in each. (Use “NA” to indicate that the PHA does not operate any of the programs listed below.)

<b>Program Name</b>	<b>Units or Families Served at Year Beginning 10/1/12</b>	<b>Expected Turnover</b>
Public Housing	1551	450
Section 8 Vouchers	1388	100
Section 8 Enhanced Valley View	26	10
Section 8 Mod Rehab	238	50
Special Purpose Section 8 Certificates/Vouchers (list individually)	Vash – 40 Bridge – 1 SRO - 9 Port outs - 48	5
Other Federal Programs Public Housing FSS Coordinator	51	13
Home Ownership Choice Vouchers	14	0
Service Coordinator	648	Ongoing program
Section 8 FSS Coordinator	96	12



## Exhibit 6.0 (a) PHA Plan Element Revisions (continued)

### 5. Grievance Procedures

No Change.

### 6. Designated Housing For Elderly and Disabled Families

No Change.

### 7. Community Service and Self-Sufficiency

Services and Programs				
Program Name & Description (including location, if appropriate)	Estimated Size	Allocation Method (Waiting list/random selection/specific criteria/other)	Access (Development office / PHA main office / other provider name)	Eligibility (Public housing or Section 8 participants or both)
Boys & Girls Clubs Boys & Girls Clubs	519 BH 146 RHA residents 171 OK 142 RHA residents	Voluntary	Blackhawk & Orton Keyes	Both Both
Crisis Intervention	As needed	Voluntary	All RHA	Both
ESL	99	Voluntary	Blackhawk	Both
GED	76	Voluntary	Blackhawk	Both
Health Fairs/Flu Clinics	40 per year	Voluntary	All RHA	Both
Get Checking Class – Family Credit Management	19	Voluntary	Blackhawk	Both
Money Smart Workshops	<b>75</b>	Voluntary	All RHA	Both

## Community Service and Self-Sufficiency (Continued)

NNC-Fairgrounds & Orton Keys	210 per mo..	Voluntary	Fairgrounds & Orton Keys	Both
Homeownership Fairs – Seminars	105 – (2013)	Voluntary	Central Office & Rockford Memorial	Both
Outreach/Case Management	Monthly . Ave 276-High Rise 218-Low Rise	Voluntary	High & Low Rises	PH
ROSS	165	Voluntary	All Developments	PH
Blackhawk School Age Clinic	706	Voluntary	Blackhawk	Both
Summer Food Program Summer Food Program	2002-Fairgrounds 805 Orton Keys	Voluntary	Fairgrounds & Orton Keys- Boys and Girls Club & Rockford Park District	Both
Transportation	As needed	Voluntary	GED classes, special events	Both
Success X Three	16 newly funded	Voluntary	RVC/RKFD College	Both
			Rasmussen/Business	
ReBuild	31 work plan 18 in Cohort 2- Active 5-Cohort 1- graduated	Voluntary	All Developments	Both
Restart	44	Voluntary	All Developments	Both

### (2) Family Self Sufficiency program/s

#### a. Participation/Description

Family Self Sufficiency (FSS) Participation		
Program	Required Number of Participants (start of FY 2013 Estimate)	Actual Number of Participants As of: 09/30/12
PH-FSS	0	46
HCV-FSS	102	92

#### b. Community Service

Over 2013/2013 the ACOP plan was reviewed. Changes to strengthen the community service components were implemented. The changes hold each tenant more accountable and better monitors their community service hours as well as tracks any criminal activity through regular background checks.

## **Exhibit 6.0 (a) PHA Plan Element Revisions (continued)**

### **8. Safety and Crime Prevention**

The RHA takes resident safety and crime prevention seriously.

No change has been made to policies related to Safety and Crime Prevention; however, operational processes and technological installations include the following in 2012/2013:

- Installations of video cameras at RHA family sites - Orton Keyes, Fairgrounds and Blackhawk Courts.
- Video monitored entry systems have been installed at Park Terrace and Olesen Plaza.
- (per 24 CFR part 960.505) RHA may, subject to crime trends and availability offer vacant units to police agencies for occupancy by police officers. If such needs arise, units will be verified with the Regional office and noted in PIC accordingly. Approvals will be requested as needed.

### **9. Pets**

RHA allows common household pets under its pet acceptance and approval policy. The Rockford Housing Authority may designate specific locations, floors in buildings, or sections of buildings as no pet areas where pets generally may not be permitted for health reasons. A listing of eligible pet areas will be kept at each site. There has been a change to the policy over the past year in that Appendix D (to the ACOP) was included as Chapter 13 in the ACOP. As part of this change RHA incorporated a monthly pet fee for non-service animals.

### **10. Civil Rights Certification**

No Change.

### **11. Fiscal Year Audit**

RHA maintains a contract with a qualified firm to conduct an annual audit of its financial statements. The audit provides an opinion on the financial statements and also included a compliance audit. The most recent audit was conducted by SVA certified public accountants, S.C. For FY2012, there were two audit findings related to HCV tenant files. The first finding was related to income verifications dated more than 120 days prior to recertification. This occurred during a management change at a Mod Rehab development. RHA has since provided additional support to both site management staff and RHA staff to ensure verifications are completed in a timely manner. Quality control processes have been strengthened to ensure guidelines are followed. The second finding resulted from missing documentation to certify tenants' homeless status in the SRO program. RHA has developed a standardized form and process for verification. Quality control processes for file review have also been improved for this program.

### **12. Asset Management**

A full portfolio review is again underway to prioritize capital and operational improvements to both the physical property and service delivery under the new more efficient operating structure. This review coupled with the recent completion of portfolio wide physical needs assessment(s) continues to guide and prioritize anticipated scattered site dispositions and demolitions. See (**Attachment C**) for a thorough list of currently anticipated demolitions and dispositions. It is RHA's goal to make significant progress in the modernization of its facilities over the next (5) year window. Please refer to the Public Housing Asset Management Table for property specific detail(s). All properties are candidates for state and local energy efficiency improvements under appropriate economic and community development programs.

## **Exhibit 6.0 (a) PHA Plan Element Revisions (continued)**

### **13. Violence Against Women (VAWA)**

No Change.

### **14. Carbon Monoxide Act**

No Change.

## Attachment B - IL022bv01

### Section 6.0 -- PHA Plan Elements. (24 CFR 903.7)

Instructions:

For each Element below that **HAS** changed since the last PHA Plan, using the HUD 50075 instructions, enter the "changed" text in column 3.

For each Element below that **HAS NOT** changed since the last PHA Plan, enter "No Change" in column 3.

Housing Authority #	Housing Authority Name	Fiscal Year Begin Date
IL-22	Rockford Housing Authority	10/01/13

	Plan Element	Column #3
1.	Eligibility, Selection and Admissions Policies, including De-concentration and Wait List Procedures.	No Change – See Exhibit 6.0 (a) PHA Plan Element Revisions 1. Rent Determinations – RHA Policy 2012 RHA Flat Rent Table and 2012 RHA Ceiling Rent Table
2.	Financial Resources.	See Exhibit 6.0 (a) Plan Element Revisions 2. Financial Resources Planned Sources and Uses Table
3.	Rent Determination.	No Change
4.	Operation and Management.	A. RHA continues its reorganization efforts as a means to streamline operations. Over the coming year, RHA will continue to review options and sources for additional efficiencies and implement accordingly. While several changes have been made, organization policies and procedures remain under review including but not limited to the Admin plan, ACOP, Section 3 Policy, and Procurement Policy. As changes are finalized, the PHA plan will be updated as required. RHA has been reviewing Energy Performance Contracting (EPC) opportunities and intends to seek HUD approval for an EPC/ESA. All properties are up for consideration at present, subject to change and limitation based on final redevelopment funding strategies (i.e. RAD conversion) that may limit the use of EPC funds. B. See Table – HUD Programs Under PHA Management
5.	Grievance Procedures.	No Change
6.	Designated Housing for Elderly and Disabled Families.	No Change
7.	Community Service and Self-Sufficiency.	See Table of Services and Programs
8.	Safety and Crime Prevention.	No Change
9.	Pets.	RHA incorporated a monthly pet fee for non-service animals
10.	Civil Rights Certification.	No Change
11.	Fiscal Year Audit.	RHA maintains a contract with a qualified firm to conduct an annual audit of its financial statements. The audit provides an opinion on the financial statements also included a compliance audit. The most recent audit was conducted by SVA certified public accountants, S.C. For FY 2012, there were two audit findings related to HCV tenant files.

11.	Fiscal Year Audit	(Continued) The first finding was related to income verifications dated more than 120 days prior to recertification. This occurred during a management change at a Mod Rehab development. RHA has since provided additional support to both site management staff and RHA staff to ensure verifications are completed in a timely manner. Quality control processes have been strengthened to ensure guidelines are followed. The second finding resulted from missing documentation to certify tenants' homeless status in the SRO program. RHA has developed form and process for verification. Quality control processes for file review have also been improved for this program.
12.	Asset Management	A full portfolio review is again underway to prioritize capital and operational improvements to both the physical property and service delivery under the new more efficient operating structure. This review coupled with the recent completion of portfolio wide physical needs assessment(s) continues to guide and prioritize anticipated scattered site dispositions and demolitions. See Attachment C for a thorough list of currently anticipated demolitions and dispositions. It is RHA's goal to make significant progress in the modernization of its facilities over the next (5) year window. Please refer to the Public Housing Asset Management Table for property specific detail(s). All properties are candidates for state and local energy efficiency improvements under appropriate economic and community development programs.
13.	Violence Against Women (VAWA)	No Change.
14.	Carbon Monoxide Act	No Change.

## **7. Exhibit 7.0 - Hope VI, Mixed Finance Modernization or Development, Demolition and/or Disposition, Conversion of Public Housing, Homeownership Programs and Project-based Vouchers**

### **(a) Hope VI or Mixed Finance Modernization or Development.**

In 2011 RHA successfully applied for the Choice Neighborhoods Planning (CNI) grant to address many needs within the City and RHA portfolio. The planning grant is progressing and a transformational plan will be completed and delivered in August of 2013 as required under the Choice Grant agreement. A Promise Neighborhood Application, tax credits and other financing options will be considered. At present RHA and the CNI coalition of partners applied for and received a \$2.5 million neighborhood stabilization grant to reverse the effects of foreclosures. The award came as part of a mortgagor settlement under the Illinois Attorney General. Other grants for CNI related activities have also been applied for; however, they are more capacity building and crime reduction and do not directly tie to property development.

The RAD program is of interest to RHA and as such, RHA will continue to monitor the progress of the program and reserves the interest and likelihood of reviewing and applying for such an opportunity.

RHA is currently reviewing several opportunities to provide quality affordable housing to the broadest population down to specific, targeted housing populations i.e. senior, non-elderly disabled, veterans, homeless, prisoner re-entry and artists. RHA reserves the interest and likelihood of potential conversion of HCV vouchers (subject to regulatory limits) to Project Based Vouchers (PBV), should PBV be necessary to insure the viability and sustainability of the development.

The revitalization of the Brewington Oaks/Jane Addams site builds off of the work started in 2010/11 under a 9% LIHTC award RHA has leveraged into a Mixed Financed 38 unit disabled supportive housing development as phase 1. Future phases serve to revitalize the community and local economy. These phases include A) the possible conversion of the two towers to a deconcentrated amount of units that is still to be decided; the value of units will be driven by a sustainable proforma coupled with a LIHTC application, B) market rate housing, and C) commercial development.

The RHA has applied for Public Sector Electric Efficiency program funds through DCEO for the fourth time and has received funds in all cases. We will apply for another year of the Public Sector Electric Efficiency program for properties with the most need; these are currently under review.

The RHA continues to work on the Jane Addams consent decree dated 1/24/08 to provide 77 units of Replacement Housing through a combination of (1) construction of new, acquisition, and redevelopment of existing property for mixed-income housing (that includes public housing, affordable housing (which includes tax credit housing) and /or market rate housing) (2) construction and acquisition of property for scattered-site public housing units, and (3) redevelopment of existing privately owned units by converting tenant protection vouchers into project-based Section 8 housing. The Board of Commissioners approved a Scattered Sites acquisition program under which 3 units were obtained as a means to address some of the need under the consent decree. Six (6) units of HOME program/CDBG program units were created as were the 38 units of disabled supportive housing. Collectively RHA has only received approval for 14 of the units to meet the consent decree terms and conditions. Further RHA is actively reviewing acquisition of a large scale multi-family development to meet the majority of need under the decree. Conversations with the legal team monitoring the progress allowed for a two (2) year extension as the decree expired December 1, 2012.

**(b) Demolition and/or Disposition.** RHA completed planning meetings in 2010 - 2013 with residents and community stakeholders at Brewington Oaks/Jane Addams (IL0220005152P – 418 units). It was determined that the market has been unable to absorb both towers since their initial construction and redevelopment is essential. The final redevelopment plan reduces the unit count from 418 units to 120 units subject to HUD approval. RHA will continue to work to refine the redevelopment plan and LIHTC application and may submit an application for demo/dispo of units in excess of market demand.

Scattered sites - Approximately 50 are being considered for demolition and/or sale based on the backlog of deferred maintenance and/or undesirable locations –(see **attachment c**) for a full list of sites. Habitat for Humanity and RHA are reviewing RHA property that Habitat could use for future homeownership units for qualified low-income persons. RHA has in the past partnered with Habitat and is currently considering a disposition application for up to 12 units with Habitat. RHA is awaiting final unit confirmation for demolition and disposition of 5 units under applications pending with HUD.

As needed and subject to HUD approval RHA may bring other demo/dispo requests forward so long as they serve the mission and vision of HUD, RHA and the broader community i.e. the City/County Consolidated plan.

**(c) Conversion of Public Housing.** Property appraisals and viability analyses began in 2013 for all RHA developments. Further assessment will need to take place to determine if voluntary conversion to project based assistance would be feasible for Brewington Oaks and Orton Keyes. Plans to redevelop Brewington and Fairgrounds will take first priority using a mixed (and other) financing approach.

**(d) Homeownership.** The RHA may apply for HUD approval of a portion of the Scattered Sites in 2013-14. RHA hosted a homeownership information session with Section 8 residents. Nearly 40 residents participated. RHA also partnered with Habitat for Humanity and hosted a homeownership event that drew more than 80. It is RHA's position that a homeownership program is desired by RHA residents and clients.

**(e) Project-based Vouchers.** RHA may apply for PBV for the redevelopment of Brewington Oaks high rise development (IL0220005152P – 418 units to be reduced to 120 units). The RHA intends to utilize up to 63 (77 units - 14 completed) project-based vouchers as new development or under acquisition/rehab for replacement units under the Jane Addams consent decree. These units will be targeted in areas of low poverty concentrations (less than 14%) and in areas that offer more opportunities to residents while implementing green building technology and design features. Other properties/projects under review by RHA to meet broad population needs or targeted, specific populations i.e. senior, non-elderly disabled, veterans, homeless, prisoner re-entry, artists or other may be the subject of a future PBV request, should a viable project present itself.

This strategy is consistent with the guiding principles included in the RHA Strategic Plan that promotes de-concentration of poverty and develop, acquire or rehabilitate affordable housing utilizing recycled or “green” building products and environmentally friendly building designs to the maximum extent feasible. RHA will continue to develop and follow plans to eliminate concentrations of poverty and race as defined by R/ECAP and build areas of opportunity. Additionally, RHA will work to restore opportunity in deconcentrated neighborhoods.



## Public Housing Asset Management Table

Public Housing Asset Management								
Development Identification		Activity Description						
Name, Number, and Location	Number and Type of units	Capital Fund Program Parts II and III <i>Component 7a</i>	Development Activities <i>Component 7b</i>	Demolition / disposition <i>Component 8</i>	Designated housing <i>Component 9</i>	Conversion <i>Component 10</i>	Home-ownership <i>Component 11a</i>	Other (describe) <i>Component 17</i>
IL022000001P Blackhawk Courts	196	See Exhibits 8.1&8.2	Under Review for potential deconcentration of living units. Adjacent School data shows low test scores and performance tied to this development. Community building renovations to be reviewed and prioritized by deconcentration and ROI.	Candidate for partial demolition	NA	NA	NA	NA
IL022000003P Orton Keys	175	See Exhibits 8.1& 8.2	Anticipated to complete modernization of the units to likely include doors, water heaters, remaining appliances and other necessary components. Adjacent vacant development land may be utilized for a community park and as a pilot urban farming program bringing need for modest, program related community building construction.	NA	NA	Candidate for Voluntary Conversion	NA	NA
IL022000414P Park Terrace	183	See Exhibits 8.1&8.2 Invest Capital Funds to Renovate	Common space renovation and unit modernization to continue in 2013.	NA	NA	NA	NA	NA
IL022005152P Brewington Oaks	418	See Exhibits 8.1&8.2	Anticipating redevelopment in 2013/14 through 2017 utilizing RAD, LIHTC, mixed-financing and other opportunities.	Under review for partial/potential demolition in 2013/14.	Possibly for Elderly or Elderly/Disabled	Candidate for Voluntary Conversion	NA	There is interest in the community to convert the property

									to student housing for an aeronautical university interested in locating to Rockford. Should this advance RHA understands acquisition of replacement units may be necessary.
IL022000006P North Main Manor	187	See Exhibits 8.1& 8.2 Invest Capital Funds to Renovate	Common space renovation and Modernization to continue in 2013	NA	Possibly Elderly Only Designation	NA	NA	NA	NA
IL022000007P Fairgrounds Valley	210	See Exhibits 8.1& 8.2	To be redeveloped starting in 2014 utilizing mixed-financing. Choice Neighborhood Planning Grant awarded 2011; planning continues.	Under Review for potential demolition and/or disposition in 2013 or after.	NA	Candidate for Voluntary Conversion in 2013/2014	NA	NA	NA
IL022000020P Scattered Sites West	147	See Exhibits 8.1& 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Continued improvements as determined by the 2011 PNA will be prioritized by greatest need and available funds.	Under Review for demolition and/or disposition of approximately 25 units in 2012/13	NA	NA	Under review for PH Home-ownership Pgm	NA	NA
IL022000009P Olesen Plaza	151	See Exhibits 8.1& 8.2 Invest Capital Funds to Renovate	Common space renovation and modernization to continue in 2013.	NA	NA	NA	NA	NA	NA
IL022000021P Scattered Sites East	154	See Exhibits 8.1& 8.2 Invest Capital Funds in units identified for long-term retention in portfolio	Continued improvements as determined by the 2011 PNA will be prioritized by greatest need and available funds.	Under Review for demolition and/or disposition of approximately 25 units in	NA	NA	Under review for PH Home-owner-	NA	NA

				2012/13.			ship Pgm	
IL022000414P Low Rises	100	See Exhibits 8.1& 8.2	Video monitoring and entry control systems are under review and may be installed during 2013/14. Site repairs and other common area items are under consideration.	NA	Disabled Only Designation	NA	NA	NA

## **Exhibit 8.1**

### **Capital Fund Performance and Evaluation Reports:**

**IL06P02250112**

**IL06P02250111**

**IL06P02250110**

**IL0600000310R**

**IL0600000610R**

**IL06E02250111**

## **Exhibit 9.0 Housing Needs**

### **Housing Needs of Families on the Public Housing and Section 8 Tenant- Based Assistance Waiting Lists**

The City of Rockford Department of Community Development performs a Housing Study every 5 years. The last study (Analysis of Impediments to Fair Housing Choice) was conducted in 2009 making it slightly out of date; however a new study is underway and nearly complete.

RHA has taken a leadership role under the oversight of the Rockford Metropolitan Agency for Planning and the Regional HUD Sustainability grant production of the new Analysis of Impediments to Fair Housing Choice. As part of this effort, RHA has also engaged an experienced consultant to create and deliver a deconcentration study and implementation plan.

Since 2000 there has been a 208% increase in poverty in Rockford taking us from 30,000 people to 66,000 people in poverty. Collectively RHA touches approximately 24% of Rockford's households in poverty.

Given the current state of Rockford economics, it can be expected that employment and housing trends will continue to burden many Rockfordians. Under such burden, the demand for quality affordable and/or subsidized housing will continue to grow. The greatest need to those on the waiting list is relief from the cost burden. People who experience a severe cost burden are at risk of homelessness. For example, cost-burdened renters who experience one financial setback are likely to have to choose between rent and food or rent and healthcare for their family. RHA will continue to deploy decisions driven by deconcentrating poverty and racial segregation in the region.

### Housing Needs of Families on the Waiting List

Waiting list type: (select one)

- Section 8 tenant-based assistance  
 Public Housing  
 Combined Section 8 and Public Housing  
 Public Housing Site-Based or sub-jurisdictional waiting list (optional)

If used, identify which development/subjurisdiction:

	# of families	% of total families	Annual Turnover
Waiting list total	1702		
Extremely low income <=30% AMI	1557	92%	
Very low income (>30% but <=50% AMI)	119	7%	
Low income (>50% but <80% AMI)	26	1%	
Families with children	1267	74%	
Elderly families	41	2%	
Families with Disabilities	195	11%	
Race/ethnicity W	344	20%	
Race/ethnicity B	1213	71%	
Race/ethnicity A	13	1%	
Race/ethnicity Other	132	8%	
Characteristics by Bedroom Size (Public Housing Only)			
1BR	324	19%	
2 BR	877	52%	
3 BR	272	16%	
4 BR	213	12%	
5 BR	11	1%	
5+ BR	5	0%	

Is the waiting list closed (select one)?  No  Yes

If yes: Scattered Sites W, Scattered Sites E and Blackhawk closed as of Sept. 2012.

ALL HIGH RISES (ALL BEDROOM SIZES) & ORTON KEYES, 4,5,& 6 BDRMS. OPEN AS OF JAN.2013.

Does the PHA expect to reopen the list in the PHA Plan year?  No  Yes

As a means to advance deconcentration efforts RHA will open the wait list.

Does the PHA permit specific categories of families onto the waiting list, even if generally closed?  No  Yes

## Exhibit 9.1 Strategy for Addressing Housing Needs

**Need: Specific Family Types: Races or ethnicities with disproportionate housing needs**

### Strategy 2: Conduct activities to affirmatively further fair housing

Select all that apply

- Counsel section 8 tenants as to location of units outside of areas of poverty or minority concentration and assist them to locate those units
- Market the section 8 program to owners outside of areas of poverty /minority concentrations
- Other: (list below)  
Complete the relocation efforts of the former Jane Addams residents who elected to participate in the Housing Mobility Program  
Implement Housing Mobility Program as a permanent part of the Section 8 Program

**Other Housing Needs & Strategies: (list needs and strategies below)**

### (2) Reasons for Selecting Strategies

Of the factors listed below, select all that influenced the PHA's selection of the strategies it will pursue:

- Funding constraints
- Staffing constraints
- Limited availability of sites for assisted housing
- Extent to which particular housing needs are met by other organizations in the community
- Evidence of housing needs as demonstrated in the Consolidated Plan and other information available to the PHA
- Influence of the housing market on PHA programs
- Community priorities regarding housing assistance
- Results of consultation with local or state government
- Results of consultation with residents and the Resident Advisory Board
- Results of consultation with advocacy groups
- Other: (list below) Implementation of the Jane Addams Consent Decree

## **Exhibit 10.0 (a) Progress in Meeting Mission and Goals**

### **ii. Executive Summary of the Annual PHA Plan**

[24 CFR Part 903.7 9 (r)]

Provide a brief overview of the information in the Annual Plan, including highlights of major initiatives and discretionary policies the PHA has included in the Annual Plan.

**Rockford Housing Authority Profile** – The Rockford Housing Authority (RHA) currently manages 1917 units of Low Income Public Housing located in nine developments throughout the City of Rockford. In 2011 Rockford Housing Authority formed a non-profit affiliate, Bridge Rockford Alliance, Inc (BRAI) to assist it in its LIHTC and Mixed Income/Mixed Financed development work. In 2012 BRAI completed 38 new disabled supportive housing units under a mixed income finance platform using CFFP. In this same time frame, BRAI completed 6 units of affordable housing on a single site using CDBG, HOME and NSP monies. Bridge will continue its develop efforts giving priority to RAD conversions, senior/disabled populations and re-entry housing development; utilizing funding and equity streams that are available to and allowable for BRAI. Additionally, any developments BRAI considers will have to support RHA's deconcentration efforts and/or neighborhood redevelopment plans.

The RHA also administers a Housing Choice Voucher program. The Housing Choice Voucher program serves approximately 2000 families through several HCV programs including; tenant based assistance, project based developments and a project based “Moderate Rehabilitation” program. Historically RHA has focused these units within the City of Rockford. A MOU signed with the Winnebago County Housing Authority allows RHA to move beyond City limits and into the County of Winnebago. RHA will expand into this larger market in 2013/2014 and forward to work towards its goal of deconcentration of poverty and racial concentrations.

Overall the RHA (including BRAI) owns and/or administers affordable housing, which serves approximately 3% of Rockford’s population; almost 11% of the rental market. In addition our HCV assistance programs comprise approximately 9.5% of the Rockford rental housing market.

### **Objectives for 2013/14**

The Rockford Housing Authority (RHA) has recently operated under its “Strategic Plan” 2009-2014. RHA has, with constituent input (Board, staff, residents, community partners), updated the plan to carry RHA forward. RHA will adopt its new strategic plan in 2013 for the period of 2014-2019.

The strategic plan, as well as this PHA plan are being driven by the deconcentration study and resulting plan, RHA commissioned in 2012/2013. The plan, completed in the first half of 2013, provides guidance and strategies to reverse high concentrations of poverty and racial segregation.

The strategic plan is driving a long term strategy for converting the RHA’s obsolete housing stock into new quality, affordable housing opportunities. This will be accomplished by identifying developments, which are no longer cost effective to manage and/or are not serving the needs of our residents. RHA properties such as Brewington Oaks, Fairgrounds Valley and Orton Keyes are all candidates for redevelopment activities in the upcoming year. Partnerships with the private sector are considered key components in the redevelopment process. RHA will explore RAD, mixed-income and mixed-financing options to accomplish these goals.

Timelines, subject to funding availability, have been developed to transition these developments, either through demolition or redevelopment, into more viable housing. Required resident relocation efforts will include mobility



counseling services designed to encourage residents to move to opportunity areas within the City where poverty rates are lower and there are better employment, schools and transportation options.

Other high rise buildings, designed for the elderly and persons with disabilities, are being assessed for additional upgrades, redevelopment and/or modernizing their appearance and functionality.

RHA's "scattered site" housing will be thoroughly analyzed (from an asset management perspective) as to each unit's cost effectiveness and viability for either renovations and upgrade, or disposition or demolition.

The ultimate goal of the strategic planning process is to deconcentrate poverty, create economic opportunity and identify housing developments and housing stock, which no longer provides a quality living environment for our residents. RHA has identified Brewington Oaks and Fairgrounds as developments that RHA will seek to create alternative housing options. Emphasis will be placed on providing quality living environments to responsible residents with the availability of programming, that offers opportunities for developing self-sufficiency and economic independence.

RHA intends to accomplish these goals through a variety of creative ventures including public/private partnerships, tax credit programs, CFFP, EPC, HOME/CDBG, Choice and Promise Neighborhoods, CFCE, project based Section 8, RAD conversions and other affordable housing resources yet to be determined.

## **Progress on Mission**

The strategic planning process and deconcentration study is complete and RHA leadership and management are using these as the roadmap for and assessment tool. Significant progress has been made towards redevelopment efforts for two of our most distressed properties; Brewington Oaks and Fairgrounds. For both; extensive stakeholder discussions were held with multiple focus group sessions.

For Brewington financing plans have been developed and LIHTC applications were submitted to the Illinois Housing Development Authority. Since LIHTC awards are highly competitive, RHA was not awarded LIHTC monies in either application it submitted; however, both applications were advanced to the second round. RHA and its development partner will continue to refine the application and project and submit as LIHTC application rounds are opened.

At Fairgrounds, under the Choice Planning Award RHA received in 2011, the neighborhood transformation plan has progressed substantially. It is expected the final draft will be submitted on time, in August (2013) as required. The Choice planning process has been incredibly successful in building consensus from the neighborhood level up to state, local and federal government levels. Implementation of many strategies is already underway and data trends show progress on crime reduction and economic development is being made. At present, under the direction of the city and state, the major transportation corridor is under redevelopment; a \$21 million project. While RHA reserves the option to make application under the just released 2013 Choice Neighborhoods Implementation NOFA, RHA and its partners will likely hold and continue to build capacity for a later application.

RHA has made significant improvement on reallocating resources to provide economic opportunity. A new dashboard and reporting system, will allow RHA to track data trends to benchmark successes and failures and re-focus as needed. Implementation of these strategies will continue in 2013.

## Progress on Goals

### 1) Maintain and strengthen financial viability.

**Progress:** Focus remains on cash flow at each property as well as meeting budgetary constraints under Central Office operations. This approach has been successful over the past 4 years providing the foundation for expanded resident service programs. RHA will apply for RHF funds, from its 2008 demolition of Jane Addams (second request) as well as new demolition units as permitted. RHA formed Bridge Rockford Alliance, Inc. (BRAI) (instrumentality) with the intent of leveraging development opportunities as well as service opportunities. BRAI currently holds property valued at \$10 million. RHA formed Illinois Housing Alliance (IHA) (instrumentality) to apply for PBCA awards for contract management. Opportunities under these organizations shall serve to benefit and strengthen RHA.

### 2) Develop Non-HUD income streams

**Progress:** Under Bridge Rockford Alliance, Inc. (BRAI) an IHDA 9% tax credit award was received for redevelopment of the former Jane Addam's site. This project created development fees for BRAI as well as reimbursed RHA for development related staff costs under a shared services agreement. The same is true of the redevelopment of a six unit apartment building on Fisher avenue. Both developments are anticipated to produce ongoing operational cash flow to BRAI.

Two IHDA LIHTC application were submitted in 2011/2012 after receiving round one approval for the Brewington Oaks property conversion to senior only housing.

We will explore ways to utilize/leverage grant dollars for the Fairgrounds neighborhood.

Unused COCC office space is being offered for lease to generate lease revenue. Currently, COCC costs are offset by leases with Benedictine University and Lydia Urban Academy.

BRAI submitted a RFQ response to the City of Rockford in order to assume Rockford's Continuum of Care (CoC) HMIS lead agency role. It is anticipated a decision will come shortly.

### 3) Through demolition, dispositions, or a combination of redevelopment and demolition, transition our residents into modern, affordable housing family developments.

**Progress:** In 2013 a deconcentration plan and strategy was developed to guide RHA in this goal. RHA will continue to deploy decisions driven by deconcentrating poverty and racial segregation in the region.

A development plan has been completed for Brewington Oaks and Municipal approval has been received for a full scale redevelopment plan via a PUD. 38 units of disabled supportive housing have been completed. Subject to funding availability and market demand the plan will introduce new options for Brewington Oaks, market rate housing and commercial development.

Choice Neighborhoods planning continues at Fairgrounds Valley in Ellis Heights. A housing strategy is nearly complete. As part of the CN planning and implementation RHA by serving as a lead partner, assisted planning partners in obtaining a \$2.5 million award for relief from and mitigation of foreclosure related problems. Additional co-applicant and partner grant application have been submitted to support the transformational strategy.

RHA is nearly done with its EPC analysis and will come to a determination on moving EPC forward, or not.

#### 4) Upgrade the Scattered Site program

**Progress:** In 2013 a deconcentration plan and strategy was developed to guide RHA in this goal. RHA will continue to deploy decisions driven by deconcentrating poverty and racial segregation in the region.

RHA has completed the demolition and disposition plan started last year. Decision points included keep (moderate maintenance), renovate, dispose/demolish were determined by careful review of each of the units. We have in the past, partnered with Habitat for Humanity to transfer sites to them to ensure redevelopment and affordable housing preservation – this effort will continue. This partnership creates a win-win-win situation for both organization and the ultimate new home owner. We will continue to look for other potential partners that we could work with as well. The RHA Board of Commissioners approved a Scattered Sites acquisition plan to allow for the acquisition of new units in opportunity zones as a means to help expand housing opportunities for our residents. Three homes were purchased and as needed renovated and placed in service in 2012/2013. Priority was given to foreclosed and REO homes in order to help stabilize neighborhoods.

RHA partnered with YouthBuild on a deconstruction project (17 units) recently built and/or renovated in a floodway/floodplain. Materials from these newer units have been harvested and are being installed in 2 scattered sites units. RHA will continue this partnership that leverages green technologies and improves our housing stock.

#### 5) Upgrade and modernize the high rise and low rise buildings

**Progress:** We are under contract for renovation and energy improvements. This work builds on last year's ARRA improvements that included exterior renovation, efficiency improvements, parking lots, and first floor renovations that included the community rooms. In 2013 RHA will continue to modernize and improve the list of services offered to better compete with other subsidized and market rate properties.

#### 6) Expand case management capabilities in our Resident Services Departments

**Progress:** RHA has and continues to implement operational efficiencies that allow us to focus more of our funding to these services and our residents. RHA has strengthened its FSS and ROSS programs, its PCC committee and hired a client advocate. Pilot programs (ReBuild/ReStart) began in the fall of 2012 starting at all of our family sites. RHA formed a Non-profit instrumentality with 501C3 status (Bridge Rockford) to expand our ability to garner funding and services for our residents.

#### 7) Require greater accountability for participants and landlords of all HCV programs

**Progress:** RHA continues to improve our efforts with the Housing Mobility program. RHA requires a mandatory Fair Housing Training for our landlords and this past year's event was very well attended. RHA is conducting basic landlord training in June 2013 focusing on HQS inspections and basic section 8 program requirements. RHA will continue to focus on increased participation in our programs that help our residents become independent.

8) Achieve greater accountability for public housing residents through strengthened lease enforcement and lease provisions as well as enhanced monitoring and training.

**Progress:** RHA held informative meetings at each site to fully discuss the expectations of residents under the ACOP and the Lease. These meetings were very well attended and will continue.

The ACOP is again under review and alterations are being considered. The changes will hold each tenant more accountable and will better monitor their community service hours as well as track any criminal activity through regular background checks. As the changes are finalized, the PHA Plan will be updated as required.

RHA has laid the ground work for a data sharing agreement with the School District to allow for data sharing related to truancy. The program is in its infancy now, and is tied to a walking school bus program. Current focus is to build awareness of the benefit and assistance for getting children to school. In the fall of 2013, with the start of the school year, after 6 months of prep and education, RHA will begin to strongly enforce its truancy language in the lease to promote better school attendance in lieu of lease cancellation.

RHA has launched a video training for housekeeping and rules and regulations. The video training uses touch screen technology and simple testing to insure tenants are 1) participating in the training and 2) passing it.

9) Continue to improve efficiency and effectiveness of our work force through targeted training programs and hiring practices.

**Progress:** RHA has utilized a third party professional employment agency for outside hires and will continue this practice. RHA began a broadened tuition reimbursement plan for staff as a means to help staff advance their educational attainment. Further, job related conferences, expos and educational sessions are promoted and encouraged. Nearly every RHA staff member attended training last year.

Locally and on site, RHA management has assigned team leader roles to assist in training and implementation of software and technology solutions.

10) Continue to focus on curb appeal of the exterior and interior of all of our developments.

**Progress:** RHA has increased efforts of monitoring by management and maintenance via a routine inspection. Further, staff has been reorganized such that the Quality Assurance inspector is also responsible for quarterly asset inspections to track and show progress and improvement. Routine weaknesses will be identified, memorialized and addressed under this new approach; this includes established benchmarks that meet or exceed the private market.

Capital and operational monies were leveraged to increase the desirability of each AMP.

11) Provide a safe environment for our residents

**Progress:** RHA has improved its screening process to include a nationwide search on criminal activity and completes credit checks that report all indiscretions, including past due utilities. This increased screening has reduced the number to applicants that pass the prescreening process. It is not RHA's goal to turn away prospects, but rather to insure the prospects we convert to residents, best meet the terms and conditions of public housing and promote RHA's mission and vision.

A "dashboard report" monitors results and is reviewed monthly with the Board of Commissioners. Data in the dashboard is also presented at COMPSTAT a monthly police department meeting to discuss crime and related challenges in order to improve.

RHA has installed a new camera system at all of its family sites in 2013. Additionally, at Park Terrace and Olesen, RHA has installed new video monitored entry systems. RHA will look to expand this to North Main Manor in 2013.

## **Exhibit 10.0 (b) Significant Amendment and Substantial Deviation Modification**

The Rockford Housing Authority (RHA) will modify this plan in accordance with the requirements for Significant Amendments to the PHA Plan whenever a substantial deviation occurs.

A substantial deviation is defined as any occurrence, which meets one or more of the following criteria:

- Any changes to rent or admissions policies or organization of the waiting list;
- Additions of non-emergency work items (items not included in the current Annual Statement or Five-Year Action Plan) or change in the use of replacement reserve funds under the Capital Fund Program or;
- Any change with regard to demolition or disposition, designation, homeownership programs or conversion activities.

A substantial deviation excludes:

- Changes to the Capital Fund Budget produced as a result of each approved RAD Conversion, regardless of whether the proposed conversion will include use of additional Capital Funds;
- Changes to the construction and rehabilitation plan for each approved RAD conversion; and
- Changes to the financing structure for each approved RAD conversion.

The requirements for Significant Amendments or Substantial Deviations/Modifications to the PHA Plan are set out below:

- The RHA will consult with the Resident Advisory Board (RAB);
- The RHA will ensure consistency with the Consolidated Plan of the jurisdiction;
- The RHA will provide for a review of the amendments/modifications by the public during a 45-day public review period;
- The RHA shall not adopt the amendment or modification until the RHA has duly called a meeting of its Board of Directors which shall be open to the public and;
- The RHA shall not implement the amendment or modification until notification of the amendment or modification is provided to HUD and approved by HUD in accordance with HUD's plan review procedures.

## **Exhibit 11.0 Required Submittals**

- (a) Form HUD-50077, *PHA Certifications of Compliance with the PHA Plans and Related Regulations*
- (b) Form HUD-50070, *Certification for a Drug-Free Workplace*
- (c) Form HUD-50071, *Certification of Payments to Influence Federal Transactions*
- (d) Form SF-LLL, *Disclosure of Lobbying Activities*
- (e) Form SF-LLL-A, *Disclosure of Lobbying Activities Continuation Sheet*
- (f) Resident Advisory Board (RAB) comments.
  - See Attachment D
- (g) Challenged Elements. Include any element(s) of the PHA Plan that is challenged
  - See Attachment E

**Exhibit 8.1**

**Capital Fund Annual Statements:**

**IL06P02250113  
IL06R02250113**

**&**

**Capital Fund Performance and Evaluation Reports:**

**IL06P02250112  
IL06R02250112  
IL06P02250111  
IL06P02250110  
IL0600000310R  
IL0600000610R  
IL06E02250111**

Annual Statement/Performance and Evaluation Report  
Department of Housing and Urban Development  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Capital Fund Financing Program  
OMB No. 2577-0226

U.S.

<b>Part I: Summary</b>			
<b>PHA Name:</b> <b>Rockford Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250113</b> Replacement Housing Factor Grant No: Date of CFFP:	
<b>Type of Grant</b> <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report			
<b>Line</b>	<b>Summary by Development Account</b>	<b>Total Estimated Cost</b>	
		<b>Original</b>	<b>Revised<sup>2</sup></b>
1	Total non-CFP Funds		<b>Obligated</b>

2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	380,276.55		
3	1408 Management Improvements	507,035.40		
4	1410 Administration (may not exceed 10% of line 21)	253,517.70		
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	159,750.00		
8	1440 Site Acquisition	27,500.00		
9	1450 Site Improvement	45,000.00		
10	1460 Dwelling Structures	775,000.00		
11	1465.1 Dwelling Equipment—Nonexpendable	115,000.00		
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment	40,000.00		
14	1485 Demolition	100,000.00		
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs	10,000.00		
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	13,625.73		
19	1502 Contingency (may not exceed 8% of line 20)	108,471.62		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>2,535,177.00</b>		
21	Amount of line 20 Related to LBP Activities	25,000.00		
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
<b>Signature of Chief Executive Officer</b>			<b>Signature of Public Housing Director</b>	
Ron Clewer				
Date 6/15/13				



<b>Part II: Supporting Pages</b>								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250113</b> CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2013</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
001 Blackhawk	1406 CFP for Operations	001		38,941.28				
001 Blackhawk	1408 Training Resident/Staff	001		11,942.28				
001 Blackhawk	1408 Computer Software/Hardware	001		15,576.12				
001 Blackhawk	1408 Maintenance Tools & Equipment	001		2,597.00				
001 Blackhawk	1408 Marketing Plan	001		10,384.08				
001 Blackhawk	1408 Section 3 Coordinator	001		11,422.88				
001 Blackhawk	1410 Management Fee	001		25,960.20				
001 Blackhawk	1430 CFP Inspections	001		750.00				
001 Blackhawk	1430 Lead Paint Assessment	001		5,000.00				
001 Blackhawk	1450 Street Repairs	001		10,000.00				
001 Blackhawk	1450 Sidewalk Repairs	001		5,000.00				
001 Blackhawk	1460 Roof Replacement	001		15,000.00				
003 Orton Keys	1406 CFP for Operations	003		34,769.00				
003 Orton Keys	1408 Training Resident/Staff	003		10,662.75				
003 Orton Keys	1408 Computer Software/Hardware	003		13,907.25				
003 Orton Keys	1408 Maintenance Tools & Equipment	003		2,318.75				
003 Orton Keys	1408 Marketing Plan	003		9,271.50				
003 Orton Keys	1408 Section 3 Coordinator	003		10,199.00				
003 Orton Keys	1410 Management Fee	003		23,178.75				
003 Orton Keys	1430 CFP Inspections	003		2,000.00				

003 Orton Keyes	1450 Sidewalk Repairs	003		10,000.00				
003 Orton Keyes	1450 Landscape Improvements	003		10,000.00				
003 Orton Keyes	1460 Entrance Doors & Hardware	003		10,000.00				
003 Orton Keyes	1460 Storm Door Replacement	003		10,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>							
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250113</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2013</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended
003 Orton Keyes	1460 Electric Upgrades/Apts	003		75,000.00			
003 Orton Keyes	1460 Door Replacement	003		100,000.00			
0414 Park Terrace	1406 CFP for Operations	0414		36,358.44			
0414 Park Terrace	1408 Training Resident/Staff	0414		11,150.19			
0414 Park Terrace	1408 Computer Software/Hardware	0414		14,543.01			
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		2,424.75			
0414 Park Terrace	1408 Marketing Plan	0414		9,695.34			
0414 Park Terrace	1408 Section 3 Coordinator	0414		10,665.24			
0414 Park Terrace	1410 Management Fee	0414		24,238.35			
0414 Park Terrace	1430 A & E Fees	0414		100,000.00			
0414 Park Terrace	1430 CFP Inspections	0414		5,000.00			
0414 Park Terrace	1460 Asbestos Abatement/Tile	0414		30,000.00			
0414 Park Terrace	1460 Riser Replacement	0414		250,000.00			
0414 Park Terrace	1460 Roof Replacement	0414		120,000.00			
0414 Park Terrace	1465 Appliances	0414		25,000.00			
0414 Park Terrace	1495 Relocation	0414		10,000.00			
006 North Main	1406 CFP for Operations	006		37,153.16			
006 North Main	1408 Training Resident/Staff	006		11,393.91			
006 North Main	1408 Computer Software/Hardware	006		14,860.89			
006 North Main	1408 Maintenance Tools & Equipment	006		2,477.75			
006 North Main	1408 Marketing Plan	006		9,907.26			
006 North Main	1408 Section 3 Coordinator	006		10,898.36			
006 North Main	1410 Management Fee	006		24,768.15			
006 North Main	1430 A&E	006		5,000.00			
006 North Main	1430 CFP Inspections	006		500.00			

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250113</b> CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2013</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
006 North Main	1465 Appliances	006		40,000.00				
006 North Main	1475 Water Softener	006		15,000.00				
007 Fairgrounds	1406 CFP for Operations	007		41,722.80				
007 Fairgrounds	1408 Training Resident/Staff	007		12,795.30				
007 Fairgrounds	1408 Computer Software/Hardware	007		16,688.70				
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		2,782.50				
007 Fairgrounds	1408 Marketing Plan	007		11,125.80				
007 Fairgrounds	1408 Section 3 Coordinator	007		12,238.80				
007 Fairgrounds	1410 Management Fee	007		27,814.50				
007 Fairgrounds	1440 Appraisals	007		7,500.00				
007 Fairgrounds	1485 Demolition	007		100,000.00				
009 Olesen Plaza	1406 CFP for Operations	009		30,000.68				
009 Olesen Plaza	1408 Training Resident/Staff	009		9,200.43				
009 Olesen Plaza	1408 Computer Software/Hardware	009		11,999.97				
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		2,000.75				
009 Olesen Plaza	1408 Marketing Plan	009		7,999.98				
009 Olesen Plaza	1408 Section 3 Coordinator	009		8,800.28				
009 Olesen Plaza	1410 Management Fee	009		19,999.95				
009 Olesen Plaza	1430 A&E	009		10,000.00				
009 Olesen Plaza	1430 CFP Inspections	009		1,000.00				
009 Olesen Plaza	1460 Kitchen Cabinets	009		15,000.00				
009 Olesen Plaza	1460 Closet Door Replacement	009		15,000.00				
009 Olesen Plaza	1460 Danfoss Controls/Apts	009		50,000.00				
009 Olesen Plaza	1475 Water Softener	009		15,000.00				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250113</b> CFPP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2013</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
0414 Low Rises	1406 CFP for Operations	0414		19,868.00				
0414 Low Rises	1408 Training Resident/Staff	0414		6,093.00				
0414 Low Rises	1408 Computer Software/Hardware	0414		7,947.00				
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		1,325.00				
0414 Low Rises	1408 Marketing Plan	0414		5,298.00				
0414 Low Rises	1408 Section 3 Coordinator	0414		5,828.00				
0414 Low Rises	1410 Management Fee	0414		13,245.00				
0414 Low Rises	1430 CFP Inspections	0414		500.00				
0414 Low Rises	1460 Closet Door Replacement	0414		15,000.00				
020 SS West	1406 CFP for Operations	020		28,212.56				
020 SS West	1408 Training Resident/Staff	020		8,652.06				
020 SS West	1408 Computer Software/Hardware	020		11,284.74				
020 SS West	1408 Maintenance Tools & Equipment	020		1,881.50				
020 SS West	1408 Marketing Plan	020		7,523.16				
020 SS West	1408 Section 3 Coordinator	020		8,275.76				
020 SS West	1410 Management Fee	020		18,807.90				
020 SS West	1430 CFP Inspections	020		5,000.00				
020 SS West	1430 Lead Paint Assessment	020		10,000.00				
020 SS West	1440 Appraisals	020		10,000.00				
020 SS West	1460 Furnace Replacement	020		5,000.00				
020 SS West	1460 017 Window Replacement	020		5,000.00				
020 SS West	1460 Kitchen Cabinets	020		15,000.00				
020 SS West	1465 Appliances	020		25,000.00				
021 SS East	1406 CFP for Operations	021		30,199.36				
021 SS East	1408 Training Resident/Staff	021		9,261.36				

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250113</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2013</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
021 SS East	1408 Computer Software/Hardware	021		12,079.44				
021 SS East	1408 Maintenance Tools & Equipment	021		2,014.00				
021 SS East	1408 Marketing Plan	021		8,052.96				
021 SS East	1408 Section 3 Coordinator	021		8,858.56				
021 SS East	1410 Management Fee	021		20,132.40				
021 SS East	1430 CFP Inspections	021		5,000.00				
021 SS East	1430 Lead Paint Assessment	021		10,000.00				
021 SS East	1440 Appraisals	021		10,000.00				
021 SS East	1450 Walk & Driveway Repairs	021		10,000.00				
021 SS East	1460 08 Roof Replacement	021		15,000.00				
021 SS East	1460 Kitchen Cabinets	021		15,000.00				
021 SS East	1465 Appliances	021		25,000.00				
5152 Brewington Oaks	1406 CFP for Operations	5152		83,051.27				
5152 Brewington Oaks	1408 Training Resident/Staff	5152		25,466.86				
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		33,223.50				
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		5,529.77				
5152 Brewington Oaks	1408 Marketing Plan	5152		22,149.00				
5152 Brewington Oaks	1408 Section 3 Coordinator	5152		24,360.91				
5152 Brewington Oaks	1410 Management Fee	5152		55,372.50				
5152 Brewington Oaks	1475 Water Softener	5152		25,000.00				
All	Contingency	All		**108,471.62				

CFFP	9000 Debt Service	000		13,625.73				
				<b>2,535,177.00</b>				
	** Contingency includes capital leveraged							
	for E.P.C. yet to be finalized							

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Rockford Housing Authority</b>				<b>Federal FFY of Grant: 2013</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	10/01/15		10/01/17		
IL22-003 Orton Keyes	10/01/15		10/01/17		
IL22-0414 Park Terrace	10/01/15		10/01/17		
IL22-006 N. Main Manor	10/01/15		10/01/17		
IL22-007 Fairgrounds	10/01/15		10/01/17		
IL22-009 Olesen Plaza	10/01/15		10/01/17		
IL22-0414 Low Rises	10/01/15		10/01/17		
IL22-020 SS - West	10/01/15		10/01/17		
IL22-021 SS - East	10/01/15		10/01/17		
IL22-5152 Brewington	10/01/15		10/01/17		
PHA Wide	10/01/15		10/01/17		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.



Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R02250113</b> Date of CFFP:		
Type of Grant <input checked="" type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input type="checkbox"/> Performance and Evaluation Report for Period Ending: <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	107,101.71		
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>107,101.71</b>		
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
Signature of Chief Executive Officer  <b>Ron Clewer</b>			Signature of Public Housing Director	
Date 6/15/13				

Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Office of Public and Indian Housing  
 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>						
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: <b>IL06R02250113</b>			Federal FFY of Grant: <b>2013</b>	
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Status of Work
				Original	Revised	
				Funds	Funds	




<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

<b>Part I: Summary</b>				
<b>PHA Name:</b> <b>Rockford Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250112</b> Replacement Housing Factor Grant No: Date of CFFP:		
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	499,670.09	499,670.09	499,670.09
3	1408 Management Improvements	202,739.00	202,739.00	57,239.00
4	1410 Administration (may not exceed 10% of line 21)	253,404.11	253,404.11	253,404.11
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	233,250.00	233,250.00	80,000.00
8	1440 Site Acquisition	27,500.00	27,500.00	
9	1450 Site Improvement	140,000.00	140,000.00	7,500.00
10	1460 Dwelling Structures	976,550.80	976,550.80	8,800.00
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	129,573.00	129,573.00	129,573.00
19	1502 Contingency (may not exceed 8% of line 20)	72,490.00	72,490.00	
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>2,535,177.00</b>	<b>2,535,177.00</b>	<b>1,036,177.00</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			

24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
<b>Signature of Chief Executive Officer</b>  Ron Clewer			<b>Signature of Public Housing Director</b>	
Date 6/15/13				

<b>Part II: Supporting Pages</b>								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250112</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2012</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
001 Blackhawk	1406 CFP for Operations	001		51,060.90	51,060.90	51,060.90	.00	In Process
001 Blackhawk	1408 Training Resident/Staff	001		3,065.00	3,065.00	.00	.00	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		4,905.00	4,905.00	.00	.00	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		919.00	919.00	.00	.00	In Process
001 Blackhawk	1408 Marketing Plan	001		5,226.00	5,226.00	.00	.00	In Process
001 Blackhawk	1408 Section 3 Coordinator	001		5,845.00	5,720.00	5,720.00	860.96	In Process
001 Blackhawk	1408 Security Cameras	001		2,125.00	2,125.00	.00	.00	In Process
001 Blackhawk	1410 Management Fee	001		25,895.17	25,950.40	25,950.40	12,975.22	In Process
001 Blackhawk	1430 CFP Inspections	001		750.00	750.00	.00	.00	In Process
001 Blackhawk	1430 Asbestos Survey	001		20,000.00	20,000.00	8,890.00	.00	In Process
001 Blackhawk	1430 Lead Paint Assessment	001		5,000.00	5,000.00	.00	.00	In Process
001 Blackhawk	1450 Street Repairs	001		15,000.00	15,000.00	.00	.00	In Process
001 Blackhawk	1450 Sidewalk Repairs	001		20,000.00	20,000.00	.00	.00	In Process
001 Blackhawk	1450 Landscape Repairs	001		10,000.00	10,000.00	.00	.00	In Process
003 Orton Keyes	1406 CFP for Operations	003		45,590.09	45,590.09	45,590.09	.00	In Process
003 Orton Keyes	1408 Training Resident/Staff	003		2,737.00	2,737.00	.00	.00	In Process
003 Orton Keyes	1408 Computer Software/Hardware	003		4,379.00	4,379.00	.00	.00	In Process
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		821.00	821.00	.00	.00	In Process
003 Orton Keyes	1408 Marketing Plan	003		4,666.00	4,666.00	.00	.00	In Process

003 Orton Keys	1408 Section 3 Coordinator	003		5,219.00	5,148.00	5,148.00	773.93	In Process
003 Orton Keys	1408 Security Cameras	003		1,897.00	1,897.00	.00	.00	In Process
003 Orton Keys	1410 Management Fee	003		23,120.69	23,170.00	23,170.00	11,585.02	In Process
003 Orton Keys	1430 CFP Inspections	003		750.00	750.00	.00	.00	In Process
003 Orton Keys	1430 Asbestos Survey	003		20,000.00	20,000.00	8,890.00	.00	In Process
003 Orton Keys	1450 Sidewalk Repairs	003		20,000.00	20,000.00	.00	.00	In Process
003 Orton Keys	1460 Entrance Doors & Hardware	003		10,000.00	10,000.00	.00	.00	In Process
003 Orton Keys	1460 Storm Door Replacement	003		10,000.00	10,000.00	.00	.00	In Process
0414 Park Terrace	1406 CFP for Operations	0414		47,674.21	47,674.21	47,674.21	.00	In Process
0414 Park Terrace	1408 Training Resident/Staff	0414		2,862.00	2,862.00	.00	.00	In Process
0414 Park Terrace	1408 Computer Software/Hardware	0414		4,579.00	4,579.00	.00	.00	In Process

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>							
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250112</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2012</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		858.00	858.00	.00	
0414 Park Terrace	1408 Marketing Plan	0414		4,880.00	4,880.00	.00	
0414 Park Terrace	1408 Section 3 Coordinator	0414		5,458.00	5,720.00	5,720.00	
0414 Park Terrace	1410 Management Fee	0414		24,177.63	24,229.20	24,229.20	
0414 Park Terrace	1430 A & E Fees	0414		20,000.00	20,000.00	.00	
0414 Park Terrace	1430 CFP Inspections	0414		5,000.00	5,000.00	.00	
0414 Park Terrace	1430 Asbestos Survey	0414		15,000.00	15,000.00	8,890.00	
0414 Park Terrace	1450 Landscape Improvements	0414		5,000.00	5,000.00	.00	
0414 Park Terrace	1460 Entrance Access System	0414		250,000.00	250,000.00	.00	
0414 Park Terrace	1460 Riser Replacement	0414		25,250.80	25,250.80	.00	
0414 Park Terrace	1460 Upgrade Apartment Electric	0414		250,000.00	250,000.00	.00	
006 North Main	1406 CFP for Operations	006		48,716.27	48,716.27	48,716.27	
006 North Main	1408 Training Resident/Staff	006		2,925.00	2,925.00	.00	
006 North Main	1408 Computer Software/Hardware	006		4,680.00	4,680.00	.00	
006 North Main	1408 Maintenance Tools & Equipment	006		877.00	877.00	.00	
006 North Main	1408 Marketing Plan	006		5,362.00	5,362.00	.00	
006 North Main	1408 Section 3 Coordinator	006		5,577.00	5,720.00	5,720.00	
006 North Main	1410 Management Fee	006		24,706.11	24,758.80	24,758.80	
006 North Main	1430 CFP Inspections	006		500.00	500.00	.00	
006 North Main	1430 Asbestos Survey	006		15,000.00	15,000.00	8,890.00	
006 North Main	1460 Apartment Door Replacement	006		10,000.00	10,000.00	.00	
007 Fairgrounds	1406 CFP for Operations	007		54,708.11	54,708.11	54,708.11	
007 Fairgrounds	1408 Training Resident/Staff	007		3,284.00	3,284.00	.00	
007 Fairgrounds	1408 Computer Software/Hardware	007		5,255.00	5,255.00	.00	
007 Fairgrounds	1408 Maintenance Tools & Equipment	007		985.00	985.00	.00	
007 Fairgrounds	1408 Marketing Plan	007		5,600.00	5,600.00	.00	
007 Fairgrounds	1408 Section 3 Coordinator	007		6,263.00	6,292.00	6,292.00	
007 Fairgrounds	1408 Security Cameras	007		2,277.00	2,277.00	.00	
007 Fairgrounds	1410 Management Fee	007		27,744.83	27,804.00	27,804.00	
007 Fairgrounds	1430 CFP Inspections	007		750.00	750.00	.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250112</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2012</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
007 Fairgrounds	1430 Asbestos Survey	007		15,000.00	15,000.00	8,890.00	.00	In Process
007 Fairgrounds	1440 Appraisals	007		7,500.00	7,500.00	.00	.00	In Process
007 Fairgrounds	1450 Sidewalk Repairs	007		7,500.00	7,500.00	7,500.00	7,500.00	Complete
007 Fairgrounds	1460 Roof Replacement	007		7,500.00	7,500.00	.00	.00	In Process
007 Fairgrounds	1460 Gutters and Downspouts	007		7,500.00	7,500.00	.00	.00	In Process
009 Olesen Plaza	1406 CFP for Operations	009		39,337.74	39,337.74	39,337.74	.00	In Process
009 Olesen Plaza	1408 Training Resident/Staff	009		2,361.00	2,361.00	.00	.00	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		3,778.00	3,778.00	.00	.00	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		708.00	708.00	.00	.00	In Process
009 Olesen Plaza	1408 Marketing Plan	009		4,330.00	4,330.00	.00	.00	In Process
009 Olesen Plaza	1408 Section 3 Coordinator	009		4,503.00	4,576.00	4,576.00	685.54	In Process
009 Olesen Plaza	1410 Management Fee	009		19,949.85	19,992.40	19,992.40	9,996.18	In Process
009 Olesen Plaza	1430 A&E	009		20,000.00	20,000.00	.00	.00	In Process
009 Olesen Plaza	1430 CFP Inspections	009		5,000.00	5,000.00	.00	.00	In Process
009 Olesen Plaza	1430 Asbestos Survey	009		20,000.00	20,000.00	8,890.00	.00	In Process
009 Olesen Plaza	1460 Kitchen Cabinets	009		10,000.00	10,000.00	.00	.00	In Process
009 Olesen Plaza	1460 Closet Door Replacement	009		15,000.00	15,000.00	.00	.00	In Process
009 Olesen Plaza	1460 Entrance Access System	009		250,000.00	250,000.00	.00	.00	In Process
0414 Low Rises	1406 CFP for Operations	0414		26,051.48	26,051.48	26,051.48	.00	In Process
0414 Low Rises	1408 Training Resident/Staff	0414		1,564.00	1,564.00	.00	.00	In Process
0414 Low Rises	1408 Computer Software/Hardware	0414		2,502.00	2,502.00	.00	.00	In Process
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		469.00	469.00	.00	.00	In Process
0414 Low Rises	1408 Marketing Plan	0414		2,667.00	2,667.00	.00	.00	In Process
0414 Low Rises	1408 Section 3	0414		2,982.00	2,860.00	2,860.00	431.86	In Process



	Coordinator							
0414 Low Rises	1410 Management Fee	0414		13,211.82	13,240.00	13,240.00	6,619.98	In Process
0414 Low Rises	1430 CFP Inspections	0414		500.00	500.00	.00	.00	In Process
0414 Low Rises	1430 Asbestos Survey	0414		10,000.00	10,000.00	8,880.00	.00	In Process
0414 Low Rises	1460 Closet Door Replacement	0414		15,000.00	15,000.00	.00	.00	In Process
0414 Low Rises	1460 PTAC Replacement	0414		8,800.00	8,800.00	8,800.00	.00	In Process
020 SS West	1406 CFP for Operations	020		38,037.85	38,037.85	38,037.85	.00	In Process

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name:		Grant Type and Number			Federal FFY of Grant: <b>2012</b>			
<b>Rockford Housing Authority</b>		Capital Fund Program Grant No: <b>IL06P02250112</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
020 SS West	1408 Training Resident/Staff	020		2,283.00	2,283.00	.00	.00	In Process
020 SS West	1408 Computer Software/Hardware	020		3,654.00	3,654.00	.00	.00	In Process
020 SS West	1408 Maintenance Tools & Equipment	020		685.00	685.00	.00	.00	In Process
020 SS West	1408 Marketing Plan	020		4,187.00	4,187.00	.00	.00	In Process
020 SS West	1408 Section 3 Coordinator	020		4,354.00	4,576.00	4,576.00	682.79	In Process
020 SS West	1410 Management Fee	020		19,289.26	18,800.80	18,800.80	9,400.38	In Process
020 SS West	1430 CFP Inspections	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1430 Lead Paint Assessment	020		10,000.00	10,000.00	8,890.00	.00	In Process
020 SS West	1430 Asbestos Survey	020		15,000.00	15,000.00	.00	.00	In Process
020 SS West	1440 Appraisals	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1450 Landscape Improvements	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1450 Sealcoat Blacktop Driveways	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1450 Sidewalk & Driveway Repairs	020		15,000.00	15,000.00	.00	.00	In Process
020 SS West	1460 Furnace Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 Bathroom Ventilation	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 016 Roof Replacement	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1460 016 Window Replacement	020		15,000.00	15,000.00	.00	.00	In Process
020 SS West	1460 017 Window Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 019 Window Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 Deck Replacement	020		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1406 CFP for Operations	021		39,598.25	39,598.25	39,598.25	.00	In Process
021 SS East	1408 Training Resident/Staff	021		2,377.00	2,377.00	.00	.00	In Process
021 SS East	1408 Computer Software/Hardware	021		3,804.00	3,804.00	.00	.00	In Process
021 SS East	1408 Maintenance Tools & Equipment	021		713.00	713.00	.00	.00	In Process
021 SS East	1408 Marketing Plan	021		4,187.00	4,187.00	.00	.00	In Process
021 SS East	1408 Section 3 Coordinator	021		4,533.00	4,576.00	4,576.00	686.09	In Process

021 SS East	1410 Management Fee	021		20,081.97	20,124.80	20,124.80	10,062.38	In Process
021 SS East	1430 CFP Inspections	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1430 Lead Paint Assessment	021		10,000.00	10,000.00	8,890.00	.00	In Process
021 SS East	1430 Asbestos Survey	021		15,000.00	15,000.00	.00	.00	In Process

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

**Expires 4/30/2011**

<b>Part II: Supporting Pages</b>								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250112</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2012</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
021 SS East	1440 Appraisals	021		10,000.00	10,000.00	.00	.00	In Process
021 SS East	1450 Seal Blacktop Drive	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1450 Landscape Improvements	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1450 Walk & Driveway Repairs	021		15,000.00	15,000.00	.00	.00	In Process
021 SS East	1460 Lead Paint Abatement	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1460 08 Roof Replacement	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1460 016 Window Replacement	021		15,000.00	15,000.00	.00	.00	In Process
021 SS East	1460 017 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 019 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 020 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 Furnace Replacement	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1460 Deck Replacement	021		5,000.00	5,000.00	.00	.00	In Process
5152 Brewington Oaks	1406 CFP for Operations	5152		108,895.19	108,895.19	108,895.19	.00	In Process
5152 Brewington Oaks	1408 Training Resident/Staff	5152		6,538.00	6,538.00	.00	.00	In Process
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		10,461.00	10,461.00	.00	.00	In Process
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		1,961.00	1,961.00	.00	.00	In Process
5152 Brewington Oaks	1408 Marketing Plan	5152		11,146.00	11,146.00	.00	.00	In Process
5152 Brewington Oaks	1408 Section 3 Coordinator	5152		12,466.00	12,012.00	12,012.00	1,802.52	In Process
5152	1410 Management Fee	5152		55,226.78	55,333.71	55,333.71	27,666.84	In

Brewington Oaks								Process
All	1502 Contingency	000		72,490.00	72,490.00	.00	.00	In Process
CFFP	9000 Debt Service	000		129,573.00	129,573.00	129,573.00	.00	In Process
				<b>2,535,177.00</b>	<b>2,535,177.00</b>	<b>1,036,147.20</b>	<b>142,779.43</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Rockford Housing Authority</b>				<b>Federal FFY of Grant: 2012</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	03/11/14		03/11/16		
IL22-003 Orton Keyes	03/11/14		03/11/16		
IL22-0414 Park Terrace	03/11/14		03/11/16		
IL22-006 N. Main Manor	03/11/14		03/11/16		
IL22-007 Fairgrounds	03/11/14		03/11/16		
IL22-009 Olesen Plaza	03/11/14		03/11/16		
IL22-0414 Low Rises	03/11/14		03/11/16		
IL22-020 SS - West	03/11/14		03/11/16		
IL22-021 SS - East	03/11/14		03/11/16		
IL22-5152 Brewington	03/11/14		03/11/16		
PHA Wide	03/11/14		03/11/16		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Expires 4/30/2011

<b>Part I: Summary</b>				
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: Replacement Housing Factor Grant No: <b>IL06R02250112</b> Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement			
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	107,102.00	107,102.00	
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>107,102.00</b>	<b>17,102.00</b>	
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
Signature of Chief Executive Officer			Signature of Public Housing Director	
Ron Clewer			Date 6/15/13	

Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Office of Public and Indian Housing  
 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>							
PHA Name: <b>Rockford Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: CFFP (Yes/ No): Replacement Housing Factor Grant No: <b>IL06R02250112</b>		Federal FFY of Grant: <b>2012</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	







<input type="checkbox"/> Original Annual Statement		<input type="checkbox"/> Reserve for Disasters/Emergencies		<input type="checkbox"/> Revised Annual Statement (revision no: )	
<input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/13				<input type="checkbox"/> Final Performance and Evaluation Report	
Line	Summary by Development Account	Total Estimated Cost			
		Original	Revised <sup>2</sup>	Obligated	
1	Total non-CFP Funds				
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	549,088.00	549,088.00	549,088.00	
3	1408 Management Improvements	267,699.00	210,599.60	135,100.00	
4	1410 Administration (may not exceed 10% of line 21)	274,544.00	274,544.00	274,544.00	
5	1411 Audit				
6	1415 Liquidated Damages				
7	1430 Fees and Costs	233,250.00	237,335.92	204,500.00	
8	1440 Site Acquisition	27,500.00	22,500.00		
9	1450 Site Improvement	140,000.00	220,925.00	134,200.00	
10	1460 Dwelling Structures	1,051,296.00	958,292.89	373,100.00	
11	1465.1 Dwelling Equipment—Nonexpendable				
12	1470 Non-dwelling Structures				
13	1475 Non-dwelling Equipment	.00	70,091.59	70,000.00	
14	1485 Demolition				
15	1492 Moving to Work Demonstration				
16	1495.1 Relocation Costs				
17	1499 Development Activities <sup>4</sup>				
18a	1501 Collateralization or Debt Service paid by the PHA				
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment	120,727.40	120,727.40	120,727.40	
19	1502 Contingency (may not exceed 8% of line 20)	81,335.60	81,335.60		
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>2,745,440.00</b>	<b>2,745,440.00</b>	<b>1,861,300.00</b>	
21	Amount of line 20 Related to LBP Activities				
22	Amount of line 20 Related to Section 504 Activities				
23	Amount of line 20 Related to Security - Soft Costs				
24	Amount of line 20 Related to Security - Hard Costs				
25	Amount of line 20 Related to Energy Conservation Measures				
<b>Signature of Chief Executive Officer</b> Ron Clewer			<b>Signature of Public Housing Director</b>		
Date 6/15/13					

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
001 Blackhawk	1406 CFP for Operations	001		56,110.88	40,000.00	40,000.00	40,000.00	Complete
001 Blackhawk	1408 Training Resident/Staff	001		5,109.00	164.38	164.38	.00	In Process
001 Blackhawk	1408 Computer Software/Hardware	001		8,175.00	2,792.56	2,792.56	403.65	In Process
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,533.00	.00	.00	.00	Complete
001 Blackhawk	1408 Marketing Plan	001		5,620.00	4,741.06	3,756.06	1,968.68	In Process
001 Blackhawk	1408 Section 3 Coordinator	001		5,845.00	5,720.00	5,720.00	5,720.00	Complete
001 Blackhawk	1408 Security Cameras	001		3,542.00	.00	.00	.00	Complete
001 Blackhawk	1410 Management Fee	001		28,055.44	28,055.44	28,055.44	28,055.44	Complete
001 Blackhawk	1430 CFP Inspections	001		750.00	750.00	.00	.00	In Process
001 Blackhawk	1430 Asbestos Survey	001		20,000.00	20,000.00	20,000.00	.00	In Process
001 Blackhawk	1430 Lead Paint Assessment	001		5,000.00	5,000.00	5,000.00	.00	In Process
001 Blackhawk	1450 Street Repairs	001		15,000.00	15,000.00	11,300.00	11,300.00	In Process
001 Blackhawk	1450 Sidewalk Repairs	001		20,000.00	10,000.00	4,998.00	4,998.00	In Process
001 Blackhawk	1450 Landscape Repairs	003		10,000.00	4,200.00	.00	.00	In Process
001 Blackhawk	1460 Dwelling Structures	003		.00	32,800.00	32,800.00	32,800.00	Complete
001 Blackhawk	1475 Non-Dwelling Equipment	003		.00	31,741.09	31,741.09	.00	In Process
003 Orton Keyes	1406 CFP for Operations	003		50,099.00	30,000.00	30,000.00	30,000.00	Complete
003 Orton Keyes	1408 Training Resident/Staff	003		4,562.00	422.00	422.00	257.62	In Process
003 Orton Keyes	1408 Computer Software/Hardware	003		7,299.00	3,226.75	3,226.75	.00	In Process
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,369.00	.00	.00	.00	Complete
003 Orton Keyes	1408 Marketing Plan	003		5,018.00	5,018.00	3,633.00	1,930.25	In Process
003 Orton Keyes	1408 Section 3 Coordinator	003		5,219.00	5,148.00	5,148.00	5,148.00	Complete
003 Orton Keyes	1408 Security Camera	003		3,163.00	.00	.00	.00	Complete
003 Orton Keyes	1410 Management Fee	003		25,049.50	25,049.50	25,049.50	25,049.50	Complete
003 Orton Keyes	1430 A&E	003		.00	5,682.68	5,682.68	5,682.68	Complete
003 Orton Keyes	1430 CFP Inspections	003		750.00	750.00	.00	.00	In Process
003 Orton Keyes	1430 Asbestos Survey	003		20,000.00	20,000.00	20,000.00	.00	In Process
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000.00	8,000.00	.00	.00	In Process

003 Orton Keys	1460 Entrance Doors & Hardware	003		10,000.00	10,000.00	.00	.00	In Process
003 Orton Keys	1460 Storm Door Replacement	003		10,000.00	10,000.00	.00	.00	In Process
003 Orton Keys	1475 Non-Dwelling Equipment	003		.00	6,886.25	6,886.25	.00	In Process

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>							
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended
0414 Park Terrace	1406 CFP for Operations	0414		52,389.24	20,600.00	20,600.00	
0414 Park Terrace	1408 Training Resident/Staff	0414		4,771.00	4,771.00	1,729.29	
0414 Park Terrace	1408 Computer Software/Hardware	0414		7,633.00	1,115.00	.00	
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		1,431.00	5,650.00	5,650.00	
0414 Park Terrace	1408 Marketing Plan	0414		5,248.00	4,937.50	3,679.88	
0414 Park Terrace	1408 Section 3 Coordinator	0414		5,458.00	5,720.00	5,720.00	
0414 Park Terrace	1410 Management Fee	0414		26,194.62	26,194.62	26,194.62	
0414 Park Terrace	1430 A & E Fees	0414		20,000.00	20,000.00	13,537.29	
0414 Park Terrace	1430 CFP Inspections	0414		5,000.00	5,000.00	652.00	
0414 Park Terrace	1430 Asbestos Survey	0414		15,000.00	15,000.00	15,000.00	
0414 Park Terrace	1450 Landscape Improvements	0414		5,000.00	5,000.00	.00	
0414 Park Terrace	1450 Concrete Work	0414		.00	43,390.00	43,390.00	
0414 Park Terrace	1460 Entrance Access System	0414		250,000.00	189,683.89	165,705.00	
0414 Park Terrace	1460 Riser Replacement	0414		100,000.00	100,000.00	.00	
0414 Park Terrace	1460 Upgrade Apartment Electric	0414		250,000.00	250,000.00	.00	
0414 Park Terrace	1460 Water Softener	0414		.00	12,485.00	12,485.00	
006 North Main	1406 CFP for Operations	006		53,534.36	.00	.00	
006 North Main	1408 Training Resident/Staff	006		4,875.00	4,875.00	1,881.98	
006 North Main	1408 Computer Software/Hardware	006		7,800.00	5,035.58	5,035.58	
006 North Main	1408 Maintenance Tools & Equipment	006		1,462.00	5,225.00	5,225.00	
006 North Main	1408 Marketing Plan	006		5,362.00	5,362.00	3,703.32	
006 North Main	1408 Section 3 Coordinator	006		5,577.00	5,720.00	5,720.00	
006 North Main	1410 Management Fee	006		26,767.18	26,767.18	26,767.18	
006 North Main	1430 CFP Inspections	006		500.00	500.00	.00	
006 North Main	1430 Asbestos Survey	006		15,000.00	15,000.00	15,000.00	
006 North Main	1460 Apartment Door Replacement	006		10,000.00	10,000.00	.00	
007 Fairgrounds	1406 CFP for Operations	007		60,118.80	126,009.88	126,009.88	
007 Fairgrounds	1408 Training Resident/Staff	007		5,474.00	2,620.62	1,508.29	
007 Fairgrounds	1408 Computer Software/Hardware	007		8,759.00	4,226.75	4,226.75	
007 Fairgrounds	1408 Maintenance Tools/Eq.	007		1,642.00	1,642.00	.00	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
007 Fairgrounds	1408 Marketing	007		6,022.00	6,022.00	3,838.10	1,994.30	In Process
007 Fairgrounds	1408 Section 3 Coordinator	007		6,263.00	6,292.00	6,292.00	6,292.00	Complete
007 Fairgrounds	1408 Security Cameras	007		3,795.00	.00	.00	.00	Complete
007 Fairgrounds	1410 Management Fee	007		30,059.40	30,059.40	30,059.40	30,059.40	Complete
007 Fairgrounds	1430 CFP Inspections	007		750.00	406.24	.00	.00	In Process
007 Fairgrounds	1430 Asbestos Survey	007		15,000.00	15,000.00	15,000.00	.00	In Process
007 Fairgrounds	1440 Appraisals	007		7,500.00	7,500.00	.00	.00	In Process
007 Fairgrounds	1450 Sidewalk Repairs	007		7,500.00	7,500.00	7,500.00	7,500.00	Complete
007 Fairgrounds	1460 Roof Replacement	007		7,500.00	10,950.00	10,950.00	10,950.00	Complete
007 Fairgrounds	1460 Gutters and Downspouts	007		7,500.00	7,500.00	.00	.00	In Process
007 Fairgrounds	1475 Non-Dwelling Equipment	007		.00	31,464.25	31,464.25	.00	In Process
009 Olesen Plaza	1406 CFP for Operations	009		43,228.28	139,000.00	139,000.00	139,000.00	Complete
009 Olesen Plaza	1408 Training Resident/Staff	009		3,936.00	3,936.00	2,644.16	2,315.39	In Process
009 Olesen Plaza	1408 Computer Software/Hardware	009		6,298.00	4,000.00	1,007.90	.00	In Process
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,181.00	1,624.00	1,624.00	.00	In Process
009 Olesen Plaza	1408 Marketing Plan	009		4,330.00	4,330.00	3,492.36	1,886.33	In Process
009 Olesen Plaza	1408 Section 3 Coordinator	009		4,503.00	4,576.00	4,576.00	4,576.00	Complete
009 Olesen Plaza	1410 Management Fees	009		21,614.14	21,614.14	21,614.14	21,614.14	Complete
009 Olesen Plaza	1430 A&E	009		20,000.00	20,000.00	13,537.28	12,162.28	Complete
009 Olesen Plaza	1430 CFP Inspections	009		5,000.00	3,150.00	.00	.00	In Process
009 Olesen Plaza	1430 Asbestos Survey	009		20,000.00	20,000.00	20,000.00	.00	In Process
009 Olesen Plaza	1460 Kitchen Cabinets	009		10,000.00	10,000.00	.00	.00	In Process
009 Olesen Plaza	1460 Closet Door	009		15,000.00	15,000.00	.00	.00	In Process

Plaza	Replacement							
009 Olesen Plaza	1460 Entrance Access System	009		250,000.00	181,620.00	131,620.00	52,661.00	In Process
0414 Low Rises	1406 CFP for Operations	0414		28,628.00	95,400.00	95,400.00	95,400.00	Complete
0414 Low Rises	1408 Training Resident/Staff	0414		2,607.00	2,607.00	1,395.39	1,066.62	In Process
0414 Low Rises	1408 Computer Software/Hardware	0414		4,171.00	4,171.00	1,007.90	.00	In Process
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		782.00	782.00	.00	.00	In Process
0414 Low Rises	1408 Marketing Plan	0414		2,868.00	3,193.50	3,193.50	1,793.00	In Process
0414 Low Rises	1408 Section 3 Coordinator	0414		2,982.00	2,860.00	2,860.00	2,860.00	Complete
0414 Low Rises	1410 Management Fee	0414		14,314.00	14,314.00	14,314.00	14,314.00	Complete

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<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
0414 Low Rises	1430 CFP Inspections	0414		500.00	1,097.00	1,097.00	1,097.00	Complete
0414 Low Rises	1430 Asbestos Survey	0414		10,000.00	10,000.00	10,000.00	.00	In Process
0414 Low Rises	1450 Concrete Work	0414		.00	65,335.00	65,335.00	65,335.00	Complete
0414 Low Rises	1460 Closet Door Replacement	0414		15,000.00	4,764.00	.00	.00	In Process
0414 Low Rises	1460 PTAC Replacement	0414		8,800.00	15,994.00	15,994.00	7,194.00	In Process
020 SS West	1406 CFP for Operations	020		41,799.84	85,939.00	85,939.00	85,939.00	Complete
020 SS West	1408 Training Resident/Staff	020		3,806.00	3,806.00	257.62	257.62	In Process
020 SS West	1408 Computer Software/Hardware	020		6,090.00	.00	.00	.00	Complete
020 SS West	1408 Maintenance Tools & Equipment	020		1,142.00	.00	.00	.00	Complete
020 SS West	1408 Marketing Plan	020		4,187.00	4,187.00	2,607.50	1,610.00	In Process
020 SS West	1408 Section 3 Coordinator	020		4,354.00	4,576.00	4,576.00	4,576.00	Complete
020 SS West	1410 Management Fee	020		20,898.44	20,898.44	20,898.44	20,898.44	Complete
020 SS West	1430 CFP Inspections	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1430 Lead Paint Assessment	020		10,000.00	10,000.00	10,000.00	.00	In Process
020 SS West	1430 Asbestos Survey	020		15,000.00	15,000.00	15,000.00	.00	In Process
020 SS West	1440 Appraisal	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1450 Landscape Improvements	020		10,000.00	10,000.00	800.00	800.00	In Process
020 SS West	1450 Sealcoat Blacktop Driveways	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1450 Walks and Driveway Repairs	020		15,000.00	15,000.00	.00	.00	In Process
020 SS West	1460 Furnace Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 Bathroom Ventilation	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 016 Roof Replacement	020		10,000.00	10,000.00	.00	.00	In Process
020 SS West	1460 016 Window Replacement	020		15,000.00	14,996.00	.00	.00	In Process
020 SS West	1460 017 Window Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 019 Window Replacement	020		5,000.00	5,000.00	.00	.00	In Process
020 SS West	1460 Deck Replacement	020		5,000.00	.00	.00	.00	Complete
021 SS East	1406 CFP for Operations	021		43,514.56	.00	.00	.00	Complete
021 SS East	1408 Training Resident/Staff	021		3,962.00	3,962.00	257.62	257.62	In Process
021 SS East	1408 Computer	021		6,340.00	1,007.90	1,007.90	.00	In Process



	Software/Hardware							
021 SS East	1408 Maintenance Tools & Equipment	021		1,189.00	.00	.00	.00	Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
021 SS East	1408 Marketing Plan	021		4,359.00	4,359.00	2,607.50	1,610.00	In Process
021 SS East	1408 Section 3 Coordinator	021		4,533.00	4,576.00	4,576.00	4,576.00	Complete
021 SS East	1410 Management Fees	021		21,757.28	21,757.28	21,757.28	21,757.28	Complete
021 SS East	1430 CFP Inspections	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1430 Lead Paint Assessment	021		10,000.00	10,000.00	10,000.00	.00	In Process
021 SS East	1430 Asbestos Survey	021		15,000.00	15,000.00	15,000.00	.00	In Process
021 SS East	1440 Appraisals	021		10,000.00	5,000.00	.00	.00	In Process
021 SS East	1450 Seal Blacktop Drives	021		5,000.00	5,000.00	900.00	900.00	In Process
021 SS East	1450 Landscape Improvements	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1450 Walk & Driveway Repairs	021		15,000.00	15,000.00	.00	.00	In Process
021 SS East	1460 Lead Paint Abatement	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1460 008 Roof Replacement	021		5,000.00	5,000.00	.00	.00	In Process
021 SS East	1460 016 Window Replacement	021		15,000.00	15,000.00	.00	.00	In Process
021 SS East	1460 017 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 019 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 020 Roof Replacement	021		7,500.00	7,500.00	.00	.00	In Process
021 SS East	1460 Furnace Replacement	021		5,000.00	5,000.00	3,560.00	3,560.00	In Process
021 SS East	1460 Deck Replacement	021		5,000.00	.00	00	.00	Complete
5152 Brewington Oaks	1406 CFP for Operations	5152		119,665.04	12,139.12	12,139.12	.00	In Process
5152 Brewington Oaks	1408 Training Resident/Staff	5152		10,897.00	10,897.00	257.62	257.62	In Process
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		17,435.00	17,435.00	1,007.90	1,007.90	In Process
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		3,269.00	3,269.00	.00	.00	In Process

5152 Brewington Oaks	1408 Marketing Plan	5152		11,986.00	11,986.00	5,063.78	2,381.59	In Process
5152 Brewington Oaks	1408 Section 3 Coordinator	5152		12,466.00	12,012.00	12,012.00	12,012.00	Complete
5152 Brewington Oaks	1410 Management Fees	5152		59,834.00	59,834.00	59,834.00	59,834.00	Complete
CFFP	Capital Fund Financing – Debt Service	000		88,427.00	120,727.40	120,727.40	.00	In Process
All	Contingency	000		113,636.00	81,335.60	.00	.00	In Process
				<b>2,745,440.00</b>	<b>2,745,440.00</b>	<b>1,861,399.83</b>	<b>1,246,743.85</b>	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Annual Statement/Performance and Evaluation Report  
Department of Housing and Urban Development  
Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
Office of Public and Indian Housing  
Capital Fund Financing Program  
OMB No. 2577-0226

U.S.

**Expires 4/30/2011**

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Rockford Housing Authority</b>					Federal FFY of Grant: <b>2011</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	08/2/13		08/2/15		
IL22-003 Orton Keyes	08/2/13		08/2/15		
IL22-0414 Park Terrace	08/2/13		08/2/15		
IL22-006 N. Main Manor	08/2/13		08/2/15		
IL22-007 Fairgrounds	08/2/13		08/2/15		
IL22-009 Olesen Plaza	08/2/13		08/2/15		
IL22-0414 Low Rises	08/2/13		08/2/15		
IL22-020 SS - West	08/2/13		08/2/15		
IL22-021 SS – East	08/2/13		08/2/15		
IL22-5152 Brewington	08/2/13		08/2/15		
PHA Wide	08/2/13		08/2/15		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

<b>Part I: Summary</b>				
<b>PHA Name:</b> <b>Rockford Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL06P02250110</b> Replacement Housing Factor Grant No: Date of CFFP:		
Type of Grant <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 03/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>	640,204	517,981.32	517,9
3	1408 Management Improvements	226,468	66,279.64	56,6
4	1410 Administration (may not exceed 10% of line 21)	320,102	320,102.00	320,1
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	83,500	108,014.95	104,1
8	1440 Site Acquisition			
9	1450 Site Improvement	122,000	22,313.00	22,3
10	1460 Dwelling Structures	1,361,500	1,712,267.89	1,577,5
11	1465.1 Dwelling Equipment—Nonexpendable	80,000	56,544.14	56,5
12	1470 Non-dwelling Structures	154,500	310,678.81	310,6
13	1475 Non-dwelling Equipment	10,000	40,995.98	40,9
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs	25,000	45,844.27	41,6
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)	177,748	.00	
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>3,201,022</b>	<b>3,201,022.00</b>	<b>3,048,6</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
<b>Signature of Chief Executive Officer</b>  Ron Clewer			<b>Signature of Public Housing Director</b>  	
Date 6/15/13				

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250110</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
001 Blackhawk	1406 CFP for Operations	001		64,423	149,095.40	149,095.40	149,095.40	Complete
001 Blackhawk	1408 Training Resident/Staff	001		5,109	182.32	182.32	182.32	Complete
001 Blackhawk	1408 Computer Software/Hardware	001		8,175	1,007.90	1,007.90	1,007.90	Complete
001 Blackhawk	1408 Safety Program	001		536	.00	.00	.00	Complete
001 Blackhawk	1408 Wellness Program	001		1,022	.00	.00	.00	Complete
001 Blackhawk	1408 Maintenance Tools & Equipment	001		1,533	213.25	213.25	213.25	Complete
001 Blackhawk	1408 Marketing Plan	001		5,620	2,744.20	2,744.20	2,003.32	In Process
001 Blackhawk	1410 Management Fee	001		32,711	32,711.00	32,711.00	32,711.00	Complete
001 Blackhawk	1430 A&E	001		.00	18,386.74	18,386.74	14,586.74	In Process
001 Blackhawk	1430 CFP Inspections	001		2,000	.00	.00	.00	Complete
001 Blackhawk	1450 Street Repairs	001		40,000	.00	.00	.00	Complete
001 Blackhawk	1450 Sidewalk Repairs	001		10,000	.00	.00	.00	Complete
001 Blackhawk	1450 Landscape Repairs	001		25,000	.00	.00	.00	Complete
001 Blackhawk	1460 Storm Door Replacement	001		10,000	.00	.00	.00	Complete
001 Blackhawk	1460 Interior/Exterior Rehab	001		.00	93,067.41	93,067.41	93,067.41	Complete
001 Blackhawk	1470 Day Care Roof - Shingles	001		15,000	.00	.00	.00	Complete
001 Blackhawk	1470 Community Room Furnace	001		18,000	92,944.14	92,944.14	92,944.14	Complete
003 Orton Keyes	1406 CFP for Operations	003		58,413	68,731.20	68,731.20	68,731.20	Complete
003 Orton Keyes	1408 Training Resident/Staff	003		4,562	173.50	173.50	173.50	Complete
003 Orton Keyes	1408 Computer Software/Hardware	003		7,299	1,007.90	1,007.90	1,007.90	Complete
003 Orton Keyes	1408 Safety Program	003		479	.00	.00	.00	Complete
003 Orton Keyes	1408 Wellness Program	003		912	.00	.00	.00	Complete
003 Orton Keyes	1408 Maintenance Tools & Equipment	003		1,369	.00	.00	.00	Complete
003 Orton Keyes	1408 Marketing Plan	003		5,018	2,821.50	2,821.50	2,160.00	In Process
003 Orton Keyes	1410 Management Fee	003		29,206	29,206.00	29,206.00	29,206.00	Complete
003 Orton Keyes	1430 A & E Fees	003		15,000	43,161.09	43,161.09	41,612.89	In Process
003 Orton Keyes	1430 CFP Inspections	003		5,000	1,312.00	1,312.00	1,312.00	Complete
003 Orton Keyes	1450 Sidewalk Repairs	003		20,000	.00	.00	.00	Complete

003 Orton Keys	1450 Landscape Repairs	003		10,000	.00	.00	.00	Complete
003 Orton Keys	1450 Paint Site Light Poles	003		7,000	.00	.00	.00	Complete
003 Orton Keys	1460 Replace Porch Lights	003		25,000	.00	.00	.00	Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>							
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250110</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost	
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended
003 Orton Keyes	1460 Entrance Doors & Hardware	003		5,000	.00	.00	
003 Orton Keyes	1460 Furnace Replacement as needed	003		4,000	.00	.00	
003 Orton Keyes	1460 Ext Rehab/Windows	003		.00	45,410.00	45,410.00	
003 Orton Keyes	1460 Interior/Exterior Rehab	003		.00	23,810.28	23,810.28	
003 Orton Keyes	1465 Appliances	003		70,000	.00	.00	
003 Orton Keyes	1470 Replace Boiler In Comm Room	003		15,000	.00	.00	
003 Orton Keyes	1470 Roof Replacement/Head Start	003		40,000	.00	.00	
003 Orton Keyes	1470 Office Interior Renovation	003		10,000	.00	.00	
003 Orton Keyes	1470 Replace Com Bldg Entrance Doors	003		15,000	152,675.00	152,675.00	1
003 Orton Keyes	1470 Replace Com Room Windows	003		4,000	.00	.00	
003 Orton Keyes	1470 Install Suspended Ceiling/Lights	003		20,000	.00	.00	
003 Orton Keyes	1470 Community Room Flooring	003		7,500	6,700.00	6,700.00	
0414 Park Terrace	1406 CFP for Operations	0414		61,084	16,860.60	16,860.60	
0414 Park Terrace	1408 Training Resident/Staff	0414		7,377	3,007.89	3,007.89	
0414 Park Terrace	1408 Computer Software/Hardware	0414		11,804	1,007.90	1,007.90	
0414 Park Terrace	1408 Safety Program	0414		775	.00	.00	
0414 Park Terrace	1408 Wellness Program	0414		1,475	.00	.00	
0414 Park Terrace	1408 Maintenance Tools & Equipment	0414		2,213	.00	.00	
0414 Park Terrace	1408 Marketing Plan	0414		8,115	1,592.10	1,592.10	
0414 Park Terrace	1410 Management Fee	0414		30,541	30,541.00	30,541.00	
0414 Park Terrace	1430 A & E Fees	0414		10,000	.00	.00	
0414 Park Terrace	1430 CFP Inspections	0414		7,500	4,193.70	4,193.70	
0414 Park Terrace	1460 Door Replacement-Shop	0414		5,000	.00	.00	
0414 Park Terrace	1460 HVAC System Balancing	0414		7,500	.00	.00	
0414 Park Terrace	1460 Riser Replacement	0414		550,000	884,142.94	884,142.94	8
0414 Park Terrace	1465 Appliances	0414		.00	56,544.14	56,544.14	
0414 Park Terrace	1470 Non-Dwelling Structures	0414		.00	13,063.00	13,063.00	
0414 Park Terrace	1475 Non-Dwelling Equipment	0414		.00	4,782.78	4,782.78	
0414 Park Terrace	1495 Relocation	0414		25,000	20,000.00	20,000.00	
006 North Main	1406 CFP for Operations	006		62,419	18,835.50	18,835.50	
006 North Main	1408 Training Resident/Staff	006		4,875	3,098.61	3,098.61	

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250110</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
006 North Main	1408 Computer Software/Hardware	006		7,800	1,007.90	1,007.90	1,007.90	Complete
006 North Main	1408 Safety Program	006		512	.00	.00	.00	Complete
006 North Main	1408 Wellness Program	006		975	.00	.00	.00	Complete
006 North Main	1408 Maintenance Tools & Equipment	006		1,462	1,964.51	1,964.51	.00	In Process
006 North Main	1408 Marketing Plan	006		5,362	2,330.90	2,330.90	1,624.04	In Process
006 North Main	1410 Management Fee	006		31,208	31,208.00	31,208.00	31,208.00	Complete
006 North Main	1430 A & E Fees	006		10,000	17,997.79	17,997.79	17,920.99	In Process
006 North Main	1430 CFP Inspections	006		4,500	2,320.22	2,320.22	2,320.22	Complete
006 North Main	1460 Weatherization	006		375,000	425,762.84	425,762.84	425,762.84	Complete
006 North Main	1460 Light Replacement	006		.00	4,209.50	4,209.50	4,209.50	Complete
006 North Main	1460 Compactor Room/Steel Door	006		.00	2,530.00	2,530.00	2,530.00	Complete
006 North Main	1460 Fire Pumps	006		.00	5,920.60	5,920.60	5,920.60	Complete
006 North Main	1460 Install Central Exhaust System	006		.00	7,525.36	7,525.36	7,525.36	Complete
007 Fairgrounds	1406 CFP for Operations	007		70,096	166,127.62	166,127.62	166,127.62	Complete
007 Fairgrounds	1408 Training Resident/Staff	007		5,474	3,186.64	3,186.64	3,186.64	Complete
007 Fairgrounds	1408 Computer Software/Hardware	007		8,759	1,007.90	1,007.90	1,007.90	Complete
007 Fairgrounds	1408 Safety Program	007		575	.00	.00	.00	Complete
007 Fairgrounds	1408 Wellness	007		1,095	.00	.00	.00	Complete
007 Fairgrounds	1408 Maintenance Tools/Eq.	007		1,642	.00	.00	.00	Complete
007 Fairgrounds	1408 Marketing	007		6,022	2,866.00	2,866.00	2,072.20	In Process
007 Fairgrounds	1410 Management Fee	007		35,047	35,047.00	35,047.00	35,047.00	Complete
007 Fairgrounds	1430 A&E	007		.00	15,453.75	15,453.75	15,453.75	Complete
007 Fairgrounds	1430 CFP Inspections	007		1,000	.00	.00	.00	Complete
007 Fairgrounds	1450 Sidewalk Repairs	007		10,000	10,000.00	10,000.00	10,000.00	Complete
007 Fairgrounds	1450 Landscape Improvements	007		.00	12,313.00	12,313.00	12,313.00	Complete
007 Fairgrounds	1460 Roof Replacement	007		10,000	.00	.00	.00	Complete
007 Fairgrounds	1460 Replace Unit Entrance Doors	007		5,000	.00	.00	.00	Complete
007 Fairgrounds	1460 Gutters and Downspouts	007		10,000	.00	.00	.00	Complete
007 Fairgrounds	1465 Appliances	007		10,000	.00	.00	.00	Complete
007 Fairgrounds	1470 Replace Boiler	007		10,000	45,296.67	45,296.67	45,296.67	Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.



Expires 4/30/2011

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250110</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
009 Olesen Plaza	1406 CFP for Operations	009		50,402	17,311.20	17,311.20	17,311.20	Complete
009 Olesen Plaza	1408 Training Resident/Staff	009		3,936	3,083.47	3,083.47	3,083.47	Complete
009 Olesen Plaza	1408 Computer Software/Hardware	009		6,298	1,007.90	1,007.90	.00	In Process
009 Olesen Plaza	1408 Safety Program	009		413	.00	.00	.00	Complete
009 Olesen Plaza	1408 Wellness Program	009		787	.00	.00	.00	Complete
009 Olesen Plaza	1408 Maintenance Tools & Equipment	009		1,181	.00	.00	.00	Complete
009 Olesen Plaza	1408 Marketing Plan	009		4,330	1,313.70	1,313.70	742.92	In Process
009 Olesen Plaza	1410 Management Fee	009		25,200	25,200.00	25,200.00	25,200.00	Complete
009 Olesen Plaza	1430 CFP Inspection	009		4,500	.00	.00	.00	Complete
009 Olesen Plaza	1460 Kitchen Prototype	009		15,000	.00	.00	.00	Complete
009 Olesen Plaza	1460 Closet Door Replacement	009		10,000	.00	.00	.00	Complete
009 Olesen Plaza	1460 Hallway Flooring	009		35,000	35,000.00	.00	.00	In Process
009 Olesen Plaza	1475 Patio Furniture	009		10,000	14,339.50	14,339.50	14,339.50	Complete
009 Olesen Plaza	1495 Relocation	009		.00	2,236.55	.00	.00	In Process
0414 Low Rises	1406 CFP for Operations	0414		33,379	.00	.00	.00	Complete
0414 Low Rises	1408 Training Resident/Staff	0414		2,607	2,843.94	2,843.94	2,843.94	Complete
0414 Low Rises	1408 Computer Software/Hardware	0414		4,171	.00	.00	.00	Complete
0414 Low Rises	1408 Safety Program	0414		274	.00	.00	.00	Complete
0414 Low Rises	1408 Wellness Program	0414		521	.00	.00	.00	Complete
0414 Low Rises	1408 Maintenance Tools & Equipment	0414		782	.00	.00	.00	Complete
0414 Low Rises	1408 Marketing Plan	0414		2,868	1,662.00	1,662.00	1,284.00	In Process
0414 Low Rises	1410 Management Fee	0414		16,689	16,689.00	16,689.00	16,689.00	Complete
0414 Low Rises	1430 CFP Inspections	0414		3,500	.00	.00	.00	Complete
0414 Low Rises	1460 Common Area Furniture	0414		20,000	30,775.82	30,775.82	30,775.82	Complete
0414 Low Rises	1475 Non-Dwelling Equipment	0414		.00	14,348.34	14,348.34	14,348.34	Complete
0414 Low Rises	1495 Relocation	0414		.00	2,000.00	.00	.00	In Process

020 SS West	1406 CFP for Operations	020		48,733	.00	.00	.00	Complete
020 SS West	1408 Training Resident/Staff	020		3,806	2,157.31	2,157.31	2,157.31	Complete
020 SS West	1408 Computer Software/Hardware	020		6,090	.00	.00	.00	Complete
020 SS West	1408 Safety Program	020		400	.00	.00	.00	Complete
020 SS West	1408 Wellness Program	020		761	.00	.00	.00	Complete

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:  <b>Rockford Housing Authority</b>		Grant Type and Number Capital Fund Program Grant No: <b>IL06P02250110</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>			
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
020 SS West	1408 Maintenance Tools & Equipment	020		1,142	.00	.00	.00	Complete
020 SS West	1408 Marketing Plan	020		4,187	1,270.20	1,270.20	718.32	In Process
020 SS West	1408 Marketing Plan	020		4,187	1,270.20	1,270.20	718.32	In Process
020 SS West	1408 UPCS Inspections	020		.00	2,420.00	2,420.00	2,420.00	Complete
020 SS West	1410 Management Fee	020		24,366	24,366.00	24,366.00	24,366.00	Complete
020 SS West	1430 A&E Fees	020		5,000	276.50	276.50	276.50	Complete
020 SS West	1430 CFP Inspections	020		5,000	348.50	348.50	348.50	Complete
020 SS West	1460 Lead Paint Abatement	020		7,500	.00	.00	.00	Complete
020 SS West	1460 Furnace Replacement	020		2,500	3,800.00	3,800.00	3,800.00	Complete
020 SS West	1460 Bathroom Ventilation	020		15,000	.00	.00	.00	Complete
020 SS West	1460 016 Window Replacement	020		15,000	3,600.00	3,600.00	3,600.00	Complete
020 SS West	1460 Interior Rehab	020		.00	14,825.00	14,825.00	14,825.00	Complete
020 SS West	1460 Roof & Deck Replacement	020		10,000	20,807.00	20,807.00	20,807.00	Complete
020 SS West	1460 Kitchen Cabinets	020		35,000	.00	.00	.00	Complete
020 SS West	1460 Bathtub Surrounds/Faucets	020		15,000	.00	.00	.00	Complete
020 SS West	1495 Relocation	020		.00	14,190.47	14,190.47	3,965.47	In Process
021 SS East	1406 CFP for Operations	021		50,736	.00	.00	.00	Complete
021 SS East	1408 Training Resident/Staff	021		3,962	313.84	313.84	313.84	Complete
021 SS East	1408 Computer Software/Hardware	021		6,340	.00	.00	.00	Complete
021 SS East	1408 Safety Program	021		416	.00	.00	.00	Complete
021 SS East	1408 Wellness Program	021		792	.00	.00	.00	Complete
021 SS East	1408 Maintenance Tools & Equipment	021		1,189	.00	.00	.00	Complete
021 SS East	1408 Marketing Plan	021		4,359	1,322.40	1,322.40	747.84	In Process
021 SS East	1408 UPCS Inspections	021		.00	2,530.00	2,530.00	2,530.00	Complete
021 SS East	1410 Management Fee	021		25,367	25,367.00	25,367.00	25,367.00	Complete
021 SS East	1430 A&E Fees	021		5,000	3,564.66	441.83	441.83	In Process
021 SS East	1430 CFP Inspections	021		5,500	1,000.00	307.50	307.50	In Process
021 SS East	1460 Lead Paint Abatement	021		5,000	3,512.77	.00	.00	In Process
021 SS East	1460 Bathroom Ventilation	021		25,000	5,459.27	.00	.00	In Process
021 SS East	1460 Kitchen Cabinets	021		75,000	35,796.75	.00	.00	In Process

<sup>1</sup> To be completed for the Performance and Evaluation Report or a Revised Annual Statement.

<sup>2</sup> To be completed for the Performance and Evaluation Report.

Expires 4/30/2011

Part II: Supporting Pages								
PHA Name:		Grant Type and Number			Federal FFY of Grant: 2010			
<b>Rockford Housing Authority</b>		Capital Fund Program Grant No: <b>IL06P02250110</b>						
		CFFP (Yes/ No): Replacement Housing Factor Grant No:						
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
021 SS East	1460 016 Window Replacement	021		30,000	30,000.00	.00	.00	In Process
021 SS East	1460 Deck Replacement	021		10,000	13,360.54	13,360.54	13,360.54	Complete
021 SS East	1460 Bathtub Surrounds/Faucets	021		25,000	19,977.17	.00	.00	In Process
021 SS East	1460 Furnace Replacement	021		5,000	5,000.00	.00	.00	In Process
021 SS East	1460 Roof Replacement	021		.00	5,500.00	5,500.00	5,500.00	Complete
021 SS East	1495 Relocation	021		.00	7,417.25	7,417.25	.00	In Process
5152 Brewington Oaks	1406 CFP for Operations	5152		139,519	81,019.80	81,019.80	81,019.80	Complete
5152 Brewington Oaks	1408 Training Resident/Staff	5152		10,897	2,902.50	2,902.50	2,902.50	Complete
5152 Brewington Oaks	1408 Computer Software/Hardware	5152		17,435	5,153.46	1,007.90	.00	In Process
5152 Brewington Oaks	1408 Safety Program	5152		1,144	.00	.00	.00	Complete
5152 Brewington Oaks	1408 Wellness Program	5152		2,179	2,179.00	.00	.00	In Process
5152 Brewington Oaks	1408 Maintenance Tools & Equipment	5152		3,269	3,269.00	.00	.00	In Process
5152 Brewington Oaks	1408 Marketing Plan	5152		11,986	3,630.00	3,630.00	2,059.38	In Process
5152 Brewington Oaks	1410 Management Fee	5152		69,767	69,767.00	69,767.00	69,767.00	Complete
All	Contingency	000		177,748	.00	.00	.00	Complete
				<b>3,201,022</b>	<b>3,201,022</b>	<b>3,048,630.60</b>	<b>2,995,928.42</b>	


Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
 Office of Public and Indian Housing  
 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

Expires 4/30/2011

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Rockford Housing Authority</b>					<b>Federal FFY of Grant: 2010</b>
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL22-001 Blackhawk	07/14/12	06/30/12	07/14/14		
IL22-003 Orton Keyes	07/14/12	06/30/12	07/14/14		
IL22-0414 Park Terrace	07/14/12	06/30/12	07/14/14		
IL22-006 N. Main Manor	07/14/12	06/30/12	07/14/14		
IL22-007 Fairgrounds	07/14/12	06/30/12	07/14/14		
IL22-009 Olesen Plaza	07/14/12	06/30/12	07/14/14		
IL22-0414 Low Rises	07/14/12	06/30/12	07/14/14		
IL22-020 SS - West	07/14/12	06/30/12	07/14/14		
IL22-021 SS - East	07/14/12	06/30/12	07/14/14		
IL22-5152 Brewington	07/14/12	06/30/12	07/14/14		
PHA Wide	07/14/12	06/30/12	07/14/14		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

Expires 4/30/2011

<b>Part I: Summary</b>				
<b>PHA Name:</b> <b>Rockford Housing Authority</b>		<b>Grant Type and Number</b> Capital Fund Program Grant No: <b>IL02200000310R</b> Replacement Housing Factor Grant No: Date of CFFP:		
<input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds	228,625	228,625.00	228,625.00
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)	210,000	210,000.00	210,000.00
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	42,161	42,161.00	42,161.00
8	1440 Site Acquisition			
9	1450 Site Improvement	13,650	244,728.00	244,728.00
10	1460 Dwelling Structures	1,753,864	1,527,392.00	1,527,392.00
11	1465.1 Dwelling Equipment—Nonexpendable	80,325	75,719.00	75,719.00
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment			
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>2,100,000</b>	<b>2,100,000.00</b>	<b>2,100,000.00</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
<b>Signature of Chief Executive Officer</b>			<b>Signature of Public Housing Director</b>	
<b>Ron Clewer</b>				
<b>Date 6/15/13</b>				

Expires 4/30/2011

<b>Part II: Supporting Pages</b>		
PHA Name: <b>Rockford Housing</b>	<b>Grant Type and Number</b> Capital Fund Program Grant No:	<b>Federal FFY of Grant: 2010</b>







		Replacement Housing Factor Grant No: Date of CFFP:		
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		
		Original	Revised <sup>2</sup>	Obligated
1	Total non-CFP Funds	170,000	170,000	170,000
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements			
4	1410 Administration (may not exceed 10% of line 21)	170,000	170,000	170,000
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs	83,457	139,488	139,488
8	1440 Site Acquisition			
9	1450 Site Improvement	20,937	88,865	88,865
10	1460 Dwelling Structures	827,858	819,497	819,497
11	1465.1 Dwelling Equipment—Nonexpendable	78,540	66,650	66,650
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment	519,208	415,500	415,500
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>1,700,000</b>	<b>1,700,000</b>	<b>1,700,000</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
Signature of Chief Executive Officer			Signature of Public Housing Director	
Ron Clewer			Date 6/15/13	

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 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

Expires 4/30/2011

<b>Part II: Supporting Pages</b>								
PHA Name: <b>Rockford Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>IL0220000610R</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2010</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
006 North Main	1410 Management Fee			170,000	170,000	170,000	157,010.04	In Process
006 North Main	1430 O & M Manual			.00	.00	.00	.00	Complete
006 North Main	1430 Post Retrofit			.00	.00	.00	.00	Complete





	Replacement Housing Factor Grant No: Date of CFFP:			
<b>Type of Grant</b> <input type="checkbox"/> Original Annual Statement <input type="checkbox"/> Reserve for Disasters/Emergencies <input type="checkbox"/> Revised Annual Statement (revision no: ) <input checked="" type="checkbox"/> Performance and Evaluation Report for Period Ending: 3/31/13 <input type="checkbox"/> Final Performance and Evaluation Report				
Line	Summary by Development Account	Total Estimated Cost		Obligated
		Original	Revised <sup>2</sup>	
1	Total non-CFP Funds			
2	1406 Operations (may not exceed 20% of line 21) <sup>3</sup>			
3	1408 Management Improvements	5,000	5,000	
4	1410 Administration (may not exceed 10% of line 21)			
5	1411 Audit			
6	1415 Liquidated Damages			
7	1430 Fees and Costs			
8	1440 Site Acquisition			
9	1450 Site Improvement	5,600	.00	
10	1460 Dwelling Structures			
11	1465.1 Dwelling Equipment—Nonexpendable			
12	1470 Non-dwelling Structures			
13	1475 Non-dwelling Equipment	237,500	243,100	24
14	1485 Demolition			
15	1492 Moving to Work Demonstration			
16	1495.1 Relocation Costs			
17	1499 Development Activities <sup>4</sup>			
18a	1501 Collateralization or Debt Service paid by the PHA			
18ba	9000 Collateralization or Debt Service paid Via System of Direct Payment			
19	1502 Contingency (may not exceed 8% of line 20)			
20	Amount of Annual Grant:: (sum of lines 2 - 19)	<b>248,100</b>	<b>248,100</b>	<b>24</b>
21	Amount of line 20 Related to LBP Activities			
22	Amount of line 20 Related to Section 504 Activities			
23	Amount of line 20 Related to Security - Soft Costs			
24	Amount of line 20 Related to Security - Hard Costs			
25	Amount of line 20 Related to Energy Conservation Measures			
<b>Signature of Chief Executive Officer</b>			<b>Signature of Public Housing Director</b>	
Ron Clewer			Date 6/15/13	

Annual Statement/Performance and Evaluation Report  
 Department of Housing and Urban Development  
 Capital Fund Program, Capital Fund Program Replacement Housing Factor and  
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 Capital Fund Financing Program  
 OMB No. 2577-0226

U.S.

**Expires 4/30/2011**

Part II: Supporting Pages								
PHA Name: <b>Rockford Housing Authority</b>			Grant Type and Number Capital Fund Program Grant No: <b>IL06E02250111</b> CFFP (Yes/ No): Replacement Housing Factor Grant No:			Federal FFY of Grant: <b>2011</b>		
Development Number Name/PHA-Wide Activities	General Description of Major Work Categories	Development Account No.	Quantity	Total Estimated Cost		Total Actual Cost		Status of Work
				Original	Revised <sup>1</sup>	Funds Obligated <sup>2</sup>	Funds Expended <sup>2</sup>	
001 Blackhawk	1408 Video – Software/Licensing			2,000	2,000	2,000	.00	In Process
001 Blackhawk	1450 Tree Trimming			2,000	.00	.00	.00	Complete
001 Blackhawk	1475 Video Monitoring Equipment			70,500	72,500	72,500	4,525	In Process



**Expires 4/30/2011**

<b>Part III: Implementation Schedule for Capital Fund Financing Program</b>					
PHA Name: <b>Rockford Housing Authority</b>				<b>Federal FFY of Grant: 2010</b>	
Development Number Name/PHA-Wide Activities	All Fund Obligated (Quarter Ending Date)		All Funds Expended (Quarter Ending Date)		Reasons for Revised Target Dates <sup>1</sup>
	Original Obligation End Date	Actual Obligation End Date	Original Expenditure End Date	Actual Expenditure End Date	
IL-22	3/4/13	2/22/13	3/4/14		

<sup>1</sup> Obligation and expenditure end dated can only be revised with HUD approval pursuant to Section 9j of the U.S. Housing Act of 1937, as amended.

## Attachment D

### Attachment IL022dv01 – List of Resident Advisory & H.O.M.E. Board Members/Minutes of Public Meeting

Name of the Resident Association	Contact Person	Address, City, & State
H.O.M.E. Board	Johnny Simmons, President, HOME	511 N. Church St., #306, Rockford, IL
H.O.M.E. Board	Alice Jenkins, V. President, HOME	511 N. Church St., #202, Rockford, IL
H.O.M.E. Board	Joyce Oliveri, Secretary, HOME	511 N. Church St., #1410, Rockford, IL
H.O.M.E. Board	Laura Wright, Treasurer, HOME	511 N. Church St., #512, Rockford, IL
RAB & Home Board	Aisha Little Blackhawk	1407 Birch Court, Rockford, IL
RAB & Home Board	Shiquita Shumaker	1406 Seminary, Rockford, IL
RAB & Home Board	Levetta Jones Brewington	515 Seminary St. #914B, Rockford, IL
RAB & Home Board	Veronica Perkins	515 Seminary St. #201B, Rockford, IL
RAB & Home Board	Kenneth Willis	515 Seminary St., #801B, Rockford, IL
RAB & Home Board	Paula Thompson Buckbee	4124 Harrison Ave. #110, Rockford, IL
RAB & Home Board	Sharon Kitsemble	4124 Harrison Ave. #303, Rockford, IL
RAB & Home Board	Carolyn Chavis	4124 Harrison Ave. #314, Rockford, IL
RAB & Home Board	Myron Simmons Fairgrounds	351 Underwood St., Rockford, IL
RAB & Home Board	Tamara Batie	937 Acorn St., Rockford, IL
RAB & Home Board	Shameka Bell	1027 Hess Ct., Rockford, IL
RAB & Home Board	Merilean Thompson No. Main Manor	505 N. Main St.#516, Rockford, IL
RAB & Home Board	Dersosher Wiley	505 N. Main St.#908, Rockford, IL
RAB & Home Board	Letty Barmore	505 N. Main St.#909, Rockford, IL
RAB & Home Board	Gloria Williams	505 N. Main St.#1005, Rockford, IL
RAB & Home Board	Sharon Jones Olesen Plaza	511 N. Church St.#1205, Rockford, IL
RAB & Home Board	Laura Wright	511 N. Church #512, Rockford, IL
RAB & Home Board	Mary Joyner	511 N. Church St.#911, Rockford, IL
RAB & Home Board	Latoya Young Orton Keyes	633 Ranger St., Rockford, IL
RAB & Home Board	Felicia Hines	660 Score St., Rockford, IL
RAB & Home Board	Shalonda Gladney Park Terrace	1000 Chamberlain #203, Rockford, IL
RAB & Home Board	Frank Gordon	1000 Chamberlain #902, Rockford, IL
RAB & Home Board	Debbie Buehler	1000 Chamberlain #1210, Rockford, IL

Each of the above H.O.M.E. Board members (H.O.M.E. Board is made up of the Resident Council Officers from each development) was mailed an invitation to attend the Public Meeting held on June 13, 2013 at 2:00 p.m.

The H.O.M.E. Board meets with RHA on a monthly basis, and the Agency Plan was discussed at these meetings and comments were encouraged.

Members questions and comments are listed in the minutes of the Public Meeting held on June 13<sup>th</sup> and are included in the following document. They were taken into consideration and there was no action taken as a result of this meeting.



Attachment IL022dv01

Attachment D

TO: Files  
FROM: Ron Clewer, Chief Executive Officer

**DATE:** June 13, 2013 – 2:00 p.m.  
**SUBJECT:** 2013 Annual Plan Public Hearing Minutes & Comments  
**LOCATION:** 223 S. Winnebago Street, Rockford, IL

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Ron opened the meeting by introducing himself and welcoming everyone. It is a requirement of HUD for the housing authority to put together our Annual Plan. This plan outlines what we are going to do for the next 5 years combined with the information we have received from our site visits with the residents, management and maintenance staff. It also explains the expectations of our agency and what we expect in turn from our residents.

HUD gives us approximately 2.5 million a year and we need to plan for our needs especially with the declining conditions of our sites. A couple years ago we received ARRA monies which assisted us in making greater improvements in certain areas. In our plan we have aligned our physical improvements for the next 5 years. In the past our REAC scores were continuing to decline until last year and scores definitely have improved. The plan will also describe how we plan to deconcentrate at your existing site for a way out of poverty. We also want to take care of our senior and disabled population as a means of investment. We want to see the property at Jane Addams as an example of what Choice Neighborhoods can look like.

Ron read our Mission statement and said it has not changed. We have made modest changes to our plan this year as we are in the final year of the 5 year plan. He read the 11 Goals and Objectives of the agency and explained that we have made significant changes to the ACOP and Administrative Plan. Some of these are continued enforcement of community service, lease and drug enforcement, the Walking School Bus Program at Fairgrounds, Ellis Arts Academy and Beyer School. Our community service has increased as we have been able to tie residents to services that are needed. Truancy issues may terminate leases in the fall. The gentleman we hired will interview you if your child does not go to school. We are more serious about our Section 8 inspections and have taken steps to outsource our HQS inspections.

We have reviewed our flat rent and ceiling rent and conducted a market survey. By HUD guidelines that are allowable, RHA may adjust these rents in the coming year. Our grant subsidies have been reduced from years past so it is necessary for us to develop Non- HUD income streams. We are also reviewing Energy Performance Contracting opportunities and intend to seek HUD approval for an EPC/ESA.

RHA may, subject to crime trends and availability offer vacant units to police agencies for occupancy by police officers. If such needs arise, units will be verified with the Regional office and noted to PIC accordingly. Approvals will be requested as needed.

RHA is reviewing several opportunities to provide quality affordable housing to the broadest population down to specific, targeted housing populations such as seniors, non-elderly disabled, veterans, homeless, prisoner re-entry and artists. We will only provide new housing that offers financial opportunities and a chance for us to be a better community partner.

Fair Housing Choice means that you should be able to live where you choose and there are quality of life components (i.e. grocery stores, restaurants, and transportation) easily accessible.

The Section 8 wait list has been closed since 2006. Public housing has a wait list of nearly 2000. Since 2000 there has been a 208% increase in poverty in Rockford taking us from 30,000 people to 66,000 people in poverty. RHA touches approximately 24% of Rockford's households in poverty. RHA has taken a leadership role under the oversight of the Rockford Metropolitan Agency for Planning and Regional HUD Sustainability grant production of the new Analysis of Impediments to Fair Housing Choice. As part of this effort, RHA has also engaged an experienced consultant to create and deliver a deconcentration study and implementation plan.

**Ron opened up the conversation for questions and comments from the group.**

**Q – The units that you have identified for demolition and disposition; have you used this as an opportunity to put residents to work and why are you not fixing these homes?**

**A – We held an Opportunity Fair to see what skills our residents had and to find out if there were positions they could be placed in. We are looking at ways to involve our residents in training and apprenticeship programs.**



We are using Youth Build to remove cabinets from homes that have been flooded and place them in some of the ones we can remodel. One of the homes on the HUD list to dispose of is South Street. This site would cost RHA \$58,000 to fix but homes in the area are valued at substantially less.

**Q - While you are fixing dilapidated housing, you talk about Youth Build, how about offering something like a Job Corp to older people that need work?**

**A - Surveys have been sent to our residents requesting information on their job skills and we have received good response with some holding extraordinary computer skills and others carpentry/construction abilities.**

**Q – How is the housing authority planning on committing to a job program?**

**A – Our Section 3 program prefers low income residents and can assist them in entrepreneurship opportunities for starting their own business and also positions working with our contractors in a training program.**

**Q – Are there opportunities for a pre-apprenticeship program?**

**A – There are none right now.**

**Q – Why don't we have our folks doing lawn care at the sites?**

**A – We are working to build on our residents skills, so we can give our folks more opportunities for contracts.**

**Q - Why haven't you done more to train people for the Section 3 program?**

**A - We have updated our policies and are promoting the training portion.**

**Comment – Residents have been misdirected about the information on Section 3 and apprenticeship program.**

**Reply – I am sorry to hear that, we will try to do better on communication, however some programs are still unfunded for apprenticeship.**

**Q – On the development plan for Jane Addams of the 38 units, what is your plan to get funding for Brewington Oaks?**

**A – We have plans to redevelop the commercial center at Brewington and we want to re-establish an option to purchase the shopping center.**

**Q – Has there ever been a feasibility study to use solar energy to help tenants reduce their utility costs?**

**A - North Main had an extensive study and a long term return on the investment made it too expensive. Choice gives better opportunities and will be looking at along with energy performance contracting.**

**Q - What is your plan for deconcentration?**

**A - JQUAD is working with the city and RHA through RMAP on the deconcentration study and RHA is paying for this study.**

**Q – When do we have to have our comments back to you?**

**A – By Wednesday, June 19<sup>th</sup> as we will present this to our Board of Commissioners on 6/27 for their approval and then on to HUD by 7/15.**

**Comment From Resident – At the latest Comstat meeting, congratulations went out to RHA, Rockford Police Department, Neighborhood Network, Church and Fairgrounds residents for their participation in calling in to report crimes.**

**Comment From City – We are very supportive of anything RHA is doing because it deconcentrates poverty.**

**Ron thanked everyone for coming and their participation and closed the meeting.**

Attending:

Felicia Hines	OK – Orton Keyes	RAB & Home Board
John Moore	S/S- 1030 School St.	Resident
Patrice Cunningham	OK – 611 Score St.	Resident
John Tac Brantley	NM – 505 N. Main	Resident
Shalonda Gladney	PT – 1000 Chamberlain	RAB & Home Board
Debbie Buehler	PT – 1000 Chamberlain	RAB & Home Board
William Brumfield	PT – 1000 Chamberlain	RAB & Home Board
Shameka Bell	FG – 1027 Hess Court	RAB & Home Board
Marian Peters	OP – 511 N. Church	Resident
Dominic Spera	OP – 511 N. Church	Resident
Myron Simmons	FG – 351 Underwood	RAB & Home Board
Rosie Simmons	BO – 515 Seminary #802B	Resident
Kenneth Willis	BO - 515 Seminary #801B	RAB & Home Board
Veronica Perkins	BO - 515 Seminary #201B	RAB & Home Board
John Maculan	BB - 4124 Harrison Ave	Resident
Alice Jenkins	OP – 511 N. Church	RAB & Home Board/Officer
Joyce Oliveri	OP - 511 N. Church	RAB & Home Board/Officer
Letty Barmore	NM – 505 N. Main #909	RAB & Home Board
Harold Willis	NM – 505 N. Main #908	Resident
Juanita Smith	BH – 1410 Spring Court	Resident
Ruby D. Harris	S/S – 613 Barnum Rd.	Resident
Willie Mae Glover	S/S – 3011 Ridgeway Ave	Resident
Merilean Thompson	NM – 505 N. Main #516	RAB & Home Board
Vicki Manson	425 E. State	City of Rockford
Todd Cagnoni	425 E. State	City of Rockford
Ann Thompson Kelly	425 E. State	City of Rockford/Alderman
Venita Hervey	425 E. State	City of Rockford/Alderman
Ron Clewer	CEO – RHA	
Michelle Cassaro	CFO – RHA	
Michele Sather	RHA	
Susan Campbell	RHA	
Jeri Billo	RHA	
Karen Shull	RHA	
Jodi Stromberg	RHA	
Sybil Mueller	RHA	

Identification of Residents –

BB – Buckbee  
BH – Blackhawk  
BO – Brewington Oaks

SS - Scattered Sites  
FG – Fairgrounds  
NM – North Main Manor  
OK - Orton Keyes

Notes by jbillio